



On the Tractability of Un/Satisfiability

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Abstract

This paper shows $\mathbf{P} = \mathbf{NP}$ via exactly-1 3SAT (X3SAT). $C_k = (r_i \odot r_j \odot r_u)$ denotes a clause, an exactly-1 disjunction \odot of literals, such that $\phi = \bigwedge C_k$, an X3SAT formula. $\phi(r_j) := r_j \wedge \phi$ denotes that the literal r_j is true, $r_j \in \{x_j, \bar{x}_j\}$. This truth assignment leads to reductions due to \odot of any $C_k = (r_j \odot \bar{x}_i \odot x_u)$ into $c_k = r_j \wedge x_i \wedge \bar{x}_u$, and $C_k = (\bar{r}_j \odot r_u \odot r_v)$ into $C_{k'} = (r_u \odot r_v)$. As a result, $\phi(r_j) := r_j \wedge \phi$ transforms into $\phi(r_j) = \psi(r_j) \wedge \phi'(r_j)$, unless $\psi(r_j)$ involves $x_i \wedge \bar{x}_i$, that is, unless $\not\models \psi(r_j)$. Then, $\psi(r_j) = \bigwedge (c_k \wedge C_{k'})$ such that $C_{k'} = r_i$, and $\phi'(r_j) = \bigwedge (C_k \wedge C_{k'})$. Thus, $\psi(r_j)$ and $\phi'(r_j)$ are *disjoint*. It is *trivial* to check if $\not\models \psi(r_j)$, and *redundant* to check if $\not\models \phi'(r_j)$, in order to verify $\not\models \phi(r_j)$. Proof of this redundancy is sketched as follows. $\psi(r_i)$ is true, $\psi(r_i) \models \psi(r_i|r_j)$ holds, hence $\psi(r_i|r_j)$ is true for every r_i , because each r_j such that $\not\models \psi(r_j)$ is removed from ϕ . Then, any \bar{r}_j consists in ψ so that ϕ transforms into $\psi \wedge \phi'$. If ψ involves $x_j \wedge \bar{x}_j$, then $\not\models \phi$. Otherwise, ϕ is satisfied, since any $\psi(\cdot)$ is *disjoint* and *true*, and $\psi(r_{i_0}), \psi(r_{i_1}|r_{i_0}), \dots, \psi(r_{i_n}|r_{i_m})$ compose ϕ . Thus, $\phi'(r_j)$ is *satisfied*, since $(r_j \wedge \phi) \equiv (\psi(r_j) \wedge \phi'(r_j))$. The time complexity is $O(mn^3)$, hence $\mathbf{P} = \mathbf{NP}$.

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1 Introduction: Effectiveness of X3SAT in proving $\mathbf{P} = \mathbf{NP}$

\mathbf{P} vs \mathbf{NP} is the most notorious problem in theoretical computer science. It is well known that $\mathbf{P} = \mathbf{NP}$, if there exists a polynomial time algorithm for any *one* of NP-complete problems, since algorithmic efficiency of these problems is *equivalent*. Nevertheless, some NP-complete problem features algorithmic effectiveness, if it incorporates an *effective* tool to develop an *efficient* algorithm. That is, a particular problem can be more effective to prove $\mathbf{P} = \mathbf{NP}$.

This paper shows that one-in-three SAT, which is NP-complete [2], features algorithmic effectiveness to prove $\mathbf{P} = \mathbf{NP}$. This problem is also known as exactly-1 3SAT (X3SAT). X3SAT incorporates “exactly-1 disjunction \odot ”, the tool used to develop a polynomial time algorithm. It facilitates checking incompatibility of a literal r_j for satisfying some formula ϕ . When every r_j incompatible is removed, ϕ becomes un/satisfiable. Thus, each r_i becomes compatible to participate in some satisfiable assignment. Then, an assignment is constructed.

The truth assignment $r_j = \mathbf{T}$ (or r_j) is incompatible if $\phi(r_j)$ is unsatisfiable, denoted by $\not\models \phi(r_j)$, where $\phi(r_j) := r_j \wedge \phi$, and $r_j \in \{x_j, \bar{x}_j\}$. Then, the ϕ scan algorithm, introduced below, “scans” ϕ by checking incompatibility of every r_i , and removing each r_j incompatible.

Let $\phi = C_1 \wedge \dots \wedge C_m$ be any X3SAT formula such that a clause $C_k = (r_i \odot r_j \odot r_u)$ is an exactly-1 disjunction \odot of literals r_i , hence satisfied iff *exactly one* of $\{r_i, r_j, r_u\}$ is true. Note that a clause $(r_i \vee r_j \vee r_u)$ in a 3SAT formula is satisfied iff at least one of them is true.



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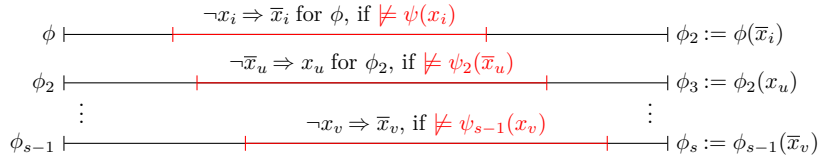
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23:2 On the Tractability of Un/Satisfiability

46 Incompatibility of r_j is checked by a *deterministic* chain of *reductions* of any C_k in $\phi(r_j)$,
 47 which is constructed via \odot . This chain is initiated by $r_j = \mathbf{T}$, and followed by $\neg \bar{r}_j$, because
 48 $r_j \Rightarrow \neg \bar{r}_j$. That is, each $(r_j \odot \bar{x}_i \odot x_u)$ *collapses* to $(r_j \wedge x_i \wedge \bar{x}_u)$ due to $r_j \Rightarrow r_j \wedge \neg \bar{x}_i \wedge \neg x_u$,
 49 since there exists exactly one true literal in any clause C_k by the definition of X3SAT. Also,
 50 each $(\bar{r}_j \odot \bar{x}_u \odot x_v)$ *shrinks* to $(\bar{x}_u \odot x_v)$ due to $\neg \bar{r}_j$. Thus, r_j transforms $\phi(r_j) := r_j \wedge \phi$ into
 51 $\phi(r_j) = r_j \wedge x_i \wedge \bar{x}_u \wedge \phi^*$, and $x_i \wedge \bar{x}_u$ proceeds the reductions in ϕ^* , which involves $(\bar{x}_u \odot x_v)$.

52 The reductions over $\phi_s(r_j)$ terminate iff $r_j \wedge \phi_s$ transforms into $\psi_s(r_j) \wedge \phi'_s(r_j)$ such that
 53 $\psi_s(r_j)$ and $\phi'_s(r_j)$ are disjoint, where s denotes the current scan, and $\psi_s(r_j)$ is a conjunction
 54 of literals that are *true*. They are interrupted iff $\psi_s(r_j)$ involves $x_i \wedge \bar{x}_i$, thus $\not\models \phi_s(r_j)$, that
 55 is, r_j is incompatible. By *assumption*, $\not\models \phi_s(r_j)$ is verified *solely* via $\not\models \psi_s(r_j)$ (see Figure 1).

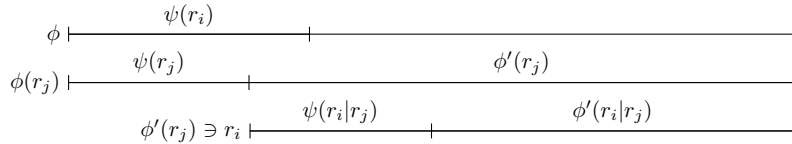
56 The reductions over ϕ terminate iff ϕ transforms into $\psi \wedge \phi'$ such that ψ and ϕ' are disjoint,
 57 where $\psi = \bar{x}_i \wedge x_u \wedge \dots \wedge \bar{x}_v$ (see Figure 1). Then, ϕ is updated, that is, $\phi \leftarrow \phi'$. The ϕ_s scan
 58 is interrupted iff ψ_s involves $x_i \wedge \bar{x}_i$ for some s and i , thus $\not\models \phi$, that is, ϕ is unsatisfiable.



■ **Figure 1** The ϕ_s scan: $\not\models \phi_s(r_j)$ is verified *solely* by $\not\models \psi_s(r_j)$, and whether $\not\models \phi'_s(r_j)$ is *ignored*

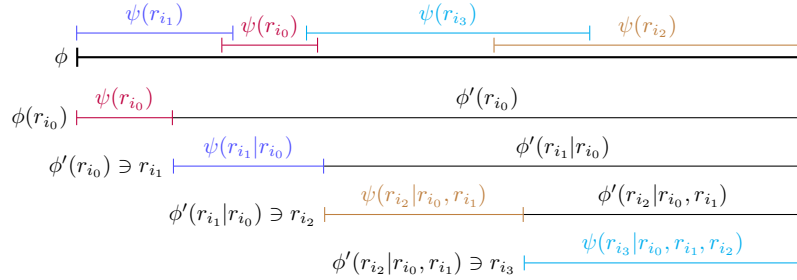
59 \triangleright **Claim 1.** It is *redundant* to check if $\not\models \phi'_s(r_j)$, thus $\not\models \phi(r_j)$ iff $\not\models \phi_s(r_j)$ iff $\not\models \psi_s(r_j)$ for
 60 some s . As a result, $\phi(r_i)$ reduces to $\psi(r_i)$ from $\phi(r_i) = \psi(r_i) \wedge \phi'(r_i)$, thus $\psi(r_i) \equiv \phi(r_i)$.
 61 Therefore, ϕ is satisfiable iff any truth assignment $\psi(r_i)$ *holds* (the scan *terminates*).

62 Sketch of proof. $\psi(r_i)/\psi(r_i|r_j)$ is constructed over $\phi/\phi'(r_j)$, thus $\psi(r_i)$ *covers* $\psi(r_i|r_j)$, hence
 63 $\psi(r_i) \models \psi(r_i|r_j)$ holds. Because $\psi(r_j)$ and $\phi'(r_j)$ are disjoint, $\psi(r_j)$ and $\psi(r_i|r_j)$ are disjoint
 64 (see Figure 2). Therefore, $\psi(r_{i_0})$, $\psi(r_{i_1}|r_{i_0})$, $\psi(r_{i_2}|r_{i_0}, r_{i_1})$, and $\psi(r_{i_3}|r_{i_0}, r_{i_1}, r_{i_2})$ form *disjoint*
 65 minterms $\psi(\cdot) = \bigwedge r_i$ over ϕ such that $\psi(r_{i_0})$, $\psi(r_{i_1}|r_{i_0})$, $\psi(r_{i_2}|r_{i_0}, r_{i_1})$, and $\psi(r_{i_3}|r_{i_0}, r_{i_1}, r_{i_2})$
 66 are true, because $\psi(r_i)$ is true for every r_i (the ϕ scan terminates), and $\psi(r_i) \models \psi(r_i|\cdot)$ holds.
 67 Thus, ϕ is composed of $\psi(\cdot)$ that are *disjoint* and *true* (see Figure 3), hence ϕ is satisfied. \triangleleft



■ **Figure 2** Since $\psi(r_i) = \bigwedge r_i$ is true and $\psi(r_i) \supseteq \psi(r_i|r_j)$, $\psi(r_i|r_j)$ is true, hence $\psi(r_i) \models \psi(r_i|r_j)$

68 A satisfiable assignment α is constructed by composing $\psi(\cdot)$ that are *disjoint* and *true*.
 69 For example, $\alpha = \{\psi, \psi(r_{i_0}), \psi(r_{i_1}|r_{i_0}), \psi(r_{i_2}|r_{i_0}, r_{i_1}), \psi(r_{i_3}|r_{i_0}, r_{i_1}, r_{i_2})\}$ (see Figure 3).



■ **Figure 3** $\psi(r_{i_1}) \models \psi(r_{i_1}|r_{i_0})$, $\psi(r_{i_2}) \models \psi(r_{i_2}|r_{i_0}, r_{i_1})$, and $\psi(r_{i_3}) \models \psi(r_{i_3}|r_{i_0}, r_{i_1}, r_{i_2})$

2 Basic Definitions

70

71 A *literal* r_i is a variable x_i or its negation \bar{x}_i , i.e., $r_i \in \{x_i, \bar{x}_i\}$. A *clause* $C_k = (r_i \odot r_j \odot r_u)$,
 72 or $C_k = (r_{ik} \odot r_{jk} \odot r_{uk})$, is an exactly-1 disjunction \odot of literals that are *assumed* to be true.

73 ▶ **Definition 2** (Minterm). $c_k = \bigwedge r_i$ is a conjunction of literals that are true, hence c_k is true.

74 ▶ **Definition 3** (X3SAT formula). $\varphi = \psi \wedge \phi$ such that $\psi = \bigwedge c_k$ and $\phi = \bigwedge C_k$.

75 Any r_i in ψ denotes a *conjunct*, which is necessary ($r_i = \mathbf{T}$) for satisfying φ , since $c_k = \mathbf{T}$
 76 by definition. If r_i is necessary, then \bar{r}_i is incompatible/removed from ϕ , i.e., $r_i \Rightarrow \neg \bar{r}_i$, while
 77 r_i is incompatible/removed if the assumption $r_i = \mathbf{T}$ cannot hold. That is, if $r_i \Rightarrow x_j \wedge \bar{x}_j$,
 78 hence $\neg x_j \vee \neg \bar{x}_j \Rightarrow \neg r_i$, then r_i is *removed* from ϕ and \bar{r}_i is *necessary* ($\bar{r}_i = \mathbf{T}$), i.e., $\neg r_i \Rightarrow \bar{r}_i$.

79 Where appropriate, C_k , as well as ψ , is denoted by a set. Thus, $\varphi = \psi \wedge \phi$ the formula,
 80 that is, $\varphi = \psi \wedge C_1 \wedge C_2 \wedge \dots \wedge C_m$, is denoted by $\varphi = \{\psi, C_1, C_2, \dots, C_m\}$ the family of sets.

81 $\mathfrak{L} = \{1, 2, \dots, n\}$ denotes the index set of the literals r_i in φ , and $\mathfrak{C} = \{1, 2, \dots, m\}$ is an
 82 index set of the clauses C_k in ϕ , while $\mathfrak{C}^{r_i} = \{k \in \mathfrak{C} \mid r_i \in C_k\}$ denotes C_k that contain r_i .

83 ▶ **Example 4.** Let $\hat{\varphi} = (x_{11} \odot \bar{x}_{31}) \wedge (x_{12} \odot \bar{x}_{22} \odot x_{32}) \wedge (x_{23} \odot \bar{x}_{33} \odot \bar{x}_{43}) \wedge \bar{x}_4$. Note that
 84 $C_3 = (x_2 \odot \bar{x}_3 \odot \bar{x}_4)$, and that \bar{x}_4 is a *conjunct*, thus $\bar{x}_4 = \mathbf{T}$ is *necessary* for satisfying $\hat{\varphi}$. Also,
 85 $\mathfrak{C} = \{1, 2, 3\}$, $\mathfrak{C}^{x_1} = \{1, 2\}$, and $\mathfrak{C}^{\bar{x}_4} = \{3\}$. Let $\varphi = (x_1 \odot \bar{x}_3) \wedge (x_1 \odot \bar{x}_4 \odot x_2) \wedge (x_2 \odot \bar{x}_3) \wedge x_4$.
 86 Then, $\mathfrak{C}^{x_4} = \emptyset$, and $C_1 = \{x_1, \bar{x}_3\}$, $C_2 = \{x_1, \bar{x}_4, x_2\}$ and $C_3 = \{x_2, \bar{x}_3\}$, while $\psi = \{x_4\}$ in φ .

87 ▶ **Definition 5** (Collapse). A clause $C_k = (r_i \odot x_j \odot \bar{x}_u)$ is said to collapse to the minterm
 88 $c_k = (r_i \wedge \bar{x}_j \wedge x_u)$, thus $r_i \notin C_k$, if r_i is necessary, denoted by $(r_i \odot x_j \odot \bar{x}_u) \searrow (r_i \wedge \bar{x}_j \wedge x_u)$.

89 ▶ **Definition 6** (Shrinkage). A clause $C_k = (r_i \odot r_j \odot r_u)$ is said to shrink to another clause
 90 $C_{k'} = (r_j \odot r_u)$, if $\neg r_i$ (r_i the incompatible is removed), denoted by $(r_i \odot r_j \odot r_u) \rightarrow (r_j \odot r_u)$.

91 ▶ **Definition 7** (Truth assignment $r_i = \mathbf{T}$ over ϕ). $\phi(r_i) = r_i \wedge \phi$ for any $r_i \in C_k$ and $C_k \in \phi$.

92 ▶ **Note 8.** r_i is *necessary* for $\phi(r_i)$, hence \bar{r}_i is *removed*, i.e., $r_i \Rightarrow \neg \bar{r}_i$. Then, by the definition
 93 of X3SAT, $r_i \Rightarrow r_i \wedge \neg x_j \wedge \neg \bar{x}_u$ to satisfy a clause $(r_i \odot x_j \odot \bar{x}_u)$. As a result, $\neg x_j \Rightarrow \bar{x}_j$ and
 94 $\neg \bar{x}_u \Rightarrow x_u$, thus \bar{x}_j and x_u become necessary. Therefore, the truth assignment $\phi(r_i)$ results
 95 in $(r_i \odot x_j \odot \bar{x}_u) \searrow (r_i \wedge \bar{x}_j \wedge x_u)$ and $(\bar{r}_i \odot r_v \odot r_y) \rightarrow (r_v \odot r_y)$ due to Definition 5 and 6.

96 ▶ **Remark** (Reduction). The collapse or shrinkage of any clause C_k denotes its *reduction*,
 97 which in turn reduces φ_s , denoted by $\varphi_s \rightarrow \varphi_{s+1}$. Then, the number of $C_k \in \phi_{s+1}$ is less than
 98 the number of $C_k \in \phi_s$, or the number of literals in some $C_k \in \phi_{s+1}$ is less than that in some
 99 $C_k \in \phi_s$. Also, a collapse reduces nondeterminism to construct a satisfiable assignment.

100 ▶ **Definition 9.** ϕ denotes a general formula if $\{x_i, \bar{x}_i\} \not\subseteq C_k$ for any $i \in \mathfrak{L}$ and $k \in \mathfrak{C}$, hence
 101 $\mathfrak{C}^{x_i} \cap \mathfrak{C}^{\bar{x}_i} = \emptyset$. ϕ denotes a special formula if $\{x_i, \bar{x}_i\} \subseteq C_k$ for some k , hence $\mathfrak{C}^{x_i} \cap \mathfrak{C}^{\bar{x}_i} = \{k\}$.

102 The φ scan algorithm accepts a general formula ϕ . Recall that $\varphi = \psi \wedge \phi$.

103 ▶ **Lemma 10** (Conversion of a special formula). Each clause $C_k = (r_j \odot x_i \odot \bar{x}_i)$ is replaced
 104 by the conjunct \bar{r}_j so that $\mathfrak{C}^{x_i} \cap \mathfrak{C}^{\bar{x}_i} = \emptyset$ for any $i \in \mathfrak{L}$, if $\phi = \bigwedge C_k$ is a special formula.

105 **Proof.** ϕ is unsatisfiable due to $r_j \Rightarrow \bar{x}_i \wedge x_i$. Then, $x_i \vee \bar{x}_i \Rightarrow \bar{r}_j$. That is, \bar{r}_j is *necessary* for
 106 satisfying $C_k = (r_j \odot x_i \odot \bar{x}_i)$, which is sufficient also, thus \bar{r}_j is equivalent to C_k . Therefore,
 107 each clause $C_k = (r_j \odot x_i \odot \bar{x}_i)$ is replaced by the conjunct \bar{r}_j so that $\mathfrak{C}^{x_i} \cap \mathfrak{C}^{\bar{x}_i} = \emptyset$. ◀

108 ▶ **Example 11.** $\phi = (x_1 \odot \bar{x}_2 \odot x_2) \wedge (x_1 \odot \bar{x}_3 \odot x_4) \wedge (x_2 \odot \bar{x}_1)$ denotes a special formula
 109 due to $C_1 = \{x_1, \bar{x}_2, x_2\}$. Note that $\mathfrak{C}^{\bar{x}_2} \cap \mathfrak{C}^{x_2} = \{1\}$. As a result, ϕ is converted by replacing
 110 the clause C_1 with the conjunct \bar{x}_1 . Therefore, $\phi \leftarrow \bar{x}_1 \wedge (x_1 \odot \bar{x}_3 \odot x_4) \wedge (x_2 \odot \bar{x}_1)$. Likewise,
 111 if $\phi = (x_1 \odot \bar{x}_2 \odot x_2) \wedge (x_1 \odot \bar{x}_1 \odot \bar{x}_4) \wedge (x_2 \odot \bar{x}_1)$, then $\phi \leftarrow \bar{x}_1 \wedge x_4 \wedge (x_2 \odot \bar{x}_1)$. On the other
 112 hand, if ϕ involves $(x_u \odot \bar{x}_i \odot x_i) \wedge (\bar{x}_u \odot x_j \odot \bar{x}_j)$, then ϕ is unsatisfiable due to $\bar{x}_u \wedge x_u$.

113 **3** The φ Scan

 114 This section addresses the φ scan. Section 3.2 introduces the core algorithms. Section 3.3
 115 tackles satisfiability of φ , and Section 3.4 tackles construction of a satisfiable assignment.

 116 φ_s denotes the *current* formula at the s^{th} scan/step, if $\neg r_j$ (an *incompatible* r_j is removed).
 117 Note that $\varphi := \varphi_1$ and $\varphi_s \equiv \varphi$. Then, $\phi_s^{r_i} = (r_{ik_1} \odot r_{u_1k_1} \odot r_{u_2k_1}) \wedge \cdots \wedge (r_{ik_r} \odot r_{v_1k_r} \odot r_{v_2k_r})$
 118 denotes the formula over clauses $C_k \ni r_i$ in ϕ_s , where $r_i \in \{x_i, \bar{x}_i\}$. Hence, $\mathfrak{C}_s^{r_i} = \{k_1, \dots, k_r\}$.

 119 $\models_{\alpha} \varphi$ denotes that the assignment $\alpha = \{r_1, r_2, \dots, r_n\}$ satisfies φ , and $\not\models \varphi$ denotes φ is
 120 unsatisfiable, while $\psi \models \psi'$ denotes ψ' is the logical consequence of ψ — as $\psi = \mathbf{T}$, $\psi' = \mathbf{T}$.

 121 $\tilde{\psi}_s(r_i)$ is called the *local* effect of r_i and $\tilde{\phi}_s(\neg r_i)$ is the effect of $\neg r_i$. $\tilde{\varphi}_s(r_i)$ denotes its
 122 *overall* effect such that $\tilde{\varphi}_s(r_i) = \tilde{\psi}_s(r_i) \wedge \tilde{\phi}_s(\neg r_i)$, specified below. Also, $\tilde{\psi}_s(r_i) = \bigwedge (c_k \wedge C_k)$
 123 such that $|C_k| = 1$. Moreover, $\tilde{\phi}_s(\neg r_i) = \bigwedge C_k$ such that $|C_k| > 1$, or $\tilde{\phi}_s(\neg r_i)$ is empty.

 124 **3.1 Introduction: Incompatibility and Reductions**

 125 Example 12 and 13 introduces incompatibility and reductions, which drive the φ scan.

 126 **► Example 12.** Consider $\phi(x_1)$ over $\varphi = \phi = (x_1 \odot \bar{x}_3) \wedge (x_1 \odot \bar{x}_2 \odot x_3) \wedge (x_2 \odot \bar{x}_3)$. Thus, x_1
 127 is necessary (see Note 8), hence $x_1 \models \tilde{\psi}(x_1)$ such that $\tilde{\psi}(x_1) = (x_1 \wedge x_3) \wedge (x_1 \wedge x_2 \wedge \bar{x}_3)$. That
 128 is, $x_1 \Rightarrow \neg \bar{x}_3$ holds for $C_1 = (x_1 \odot \bar{x}_3)$, hence $\neg \bar{x}_3 \Rightarrow x_3$. Likewise, $x_1 \Rightarrow \neg \bar{x}_2 \wedge \neg x_3$ holds for
 129 $C_2 = (x_1 \odot \bar{x}_2 \odot x_3)$, hence $\neg \bar{x}_2 \Rightarrow x_2$ and $\neg x_3 \Rightarrow \bar{x}_3$. Thus, $\tilde{\varphi}(x_1) = \tilde{\psi}(x_1) \wedge \tilde{\phi}(\neg x_1)$ becomes
 130 the overall effect, where $\tilde{\phi}(\neg x_1)$ is empty. Then, the reductions initiated by x_1 are to proceed
 131 due to x_2 . Nevertheless, they are interrupted by $x_3 \wedge \bar{x}_3$ due to $\tilde{\psi}(x_1)$, hence $\not\models \phi(x_1)$, where
 132 $\phi(x_1) = \tilde{\varphi}(x_1) \wedge (x_2 \odot \bar{x}_3)$. Therefore, x_1 is *incompatible* and *removed* from ϕ , thus $\neg x_1 \Rightarrow \bar{x}_1$.

 133 **► Example 13.** \bar{x}_1 initiates *reductions* over φ (see Note 8). Then, $\tilde{\psi}(\bar{x}_1) = \bar{x}_1 \wedge \bar{x}_3$, $\tilde{\phi}(\neg x_1) =$
 134 $(\bar{x}_2 \odot x_3)$, and $\tilde{\varphi}(\bar{x}_1) = \tilde{\psi}(\bar{x}_1) \wedge \tilde{\phi}(\neg x_1)$ such that $\varphi_2 = \tilde{\varphi}(\bar{x}_1) \wedge (x_2 \odot \bar{x}_3)$. Note that $(x_2 \odot \bar{x}_3)$
 135 is beyond $\tilde{\varphi}(\bar{x}_1)$ the overall effect. Note also that $\{\bar{x}_3\} \notin \tilde{\phi}(\neg x_1)$, while $\bar{x}_3 \in \tilde{\psi}(\bar{x}_1)$, because
 136 $C_1 \mapsto c_1$, since $\tilde{\phi}(\neg x_1)$ contains no singleton. Then, φ_2 is the current formula due to the first
 137 reduction by \bar{x}_1 over φ . Thus, $\varphi \rightarrow \varphi_2$ due to $(x_1 \odot \bar{x}_3) \mapsto (\bar{x}_3)$ and $(x_1 \odot \bar{x}_2 \odot x_3) \mapsto (\bar{x}_2 \odot x_3)$.
 138 As a result, $\varphi_2 = \bar{x}_1 \wedge \bar{x}_3 \wedge (\bar{x}_2 \odot x_3) \wedge (x_2 \odot \bar{x}_3)$, in which $\psi_2 = \{\bar{x}_1, \bar{x}_3\}$ denotes the conjuncts,
 139 and $C_1 = \{\bar{x}_2, x_3\}$ and $C_2 = \{x_2, \bar{x}_3\}$ denote the clauses. Note that $\mathfrak{C}_2^{x_3} = \{1\}$ and $\mathfrak{C}_2^{\bar{x}_3} = \{2\}$.
 140 Then, \bar{x}_3 leads to the next reduction over φ_2 : $\tilde{\psi}_2(\bar{x}_3) = (\bar{x}_2 \wedge \bar{x}_3)$, $\tilde{\phi}_2(\neg x_3)$ is empty, and
 141 $\tilde{\varphi}_2(\bar{x}_3) = \tilde{\psi}_2(\bar{x}_3) \wedge \tilde{\phi}_2(\neg x_3)$. Thus, $\varphi_2 \rightarrow \varphi_3$ due to $(x_2 \odot \bar{x}_3) \searrow (\bar{x}_2 \wedge \bar{x}_3)$ and $(\bar{x}_2 \odot x_3) \mapsto (\bar{x}_2)$.
 142 Then, $\varphi_3 = \tilde{\varphi}(\bar{x}_1) \wedge \tilde{\varphi}_2(\bar{x}_3) = \bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_3$, which denotes the cumulative effects of \bar{x}_1 and \bar{x}_3 .

 143 **3.2 The Core Algorithms: Scope and Scan**

 144 This section specifies **Scope** and **Scan**, which incorporate the overall effect $\tilde{\varphi}_s(r_j)$, defined
 145 below. Recall that \bar{r}_j is *removed*, if r_j is *necessary* for satisfying some formula, i.e., $r_j \Rightarrow \neg \bar{r}_j$.
 146 Note that $\phi_s^{r_j} = (r_{jk_1} \odot r_{i_1k_1} \odot r_{i_2k_1}) \wedge \cdots \wedge (r_{jk_r} \odot r_{u_1k_r} \odot r_{u_2k_r})$ for Lemma 14 and 15 below.

 147 **► Lemma 14.** $r_j \models \tilde{\psi}_s(r_j)$ such that $\tilde{\psi}_s(r_j) = r_j \wedge \bar{r}_{i_1} \wedge \bar{r}_{i_2} \wedge \cdots \wedge \bar{r}_{u_1} \wedge \bar{r}_{u_2}$, unless $\not\models \tilde{\psi}_s(r_j)$.

 148 **Proof.** Follows from Definition 5. That is, $r_j \Rightarrow (r_j \wedge \bar{r}_{i_1} \wedge \bar{r}_{i_2}) \wedge \cdots \wedge (r_j \wedge \bar{r}_{u_1} \wedge \bar{r}_{u_2})$. Hence,
 149 $r_j \Rightarrow r_j \wedge \bar{r}_{i_1} \wedge \bar{r}_{i_2} \wedge \cdots \wedge \bar{r}_{u_1} \wedge \bar{r}_{u_2}$. ◀

 150 **► Lemma 15.** If $\neg r_j$, then $\tilde{\phi}_s(\neg r_j)$ holds such that $\tilde{\phi}_s(\neg r_j) = (r_{i_1} \odot r_{i_2}) \wedge \cdots \wedge (r_{u_1} \odot r_{u_2})$.

 151 **Proof.** Follows from Definition 6. $\tilde{\phi}_s(\neg r_j) = \{\{\}\}$, or $|C_k| > 1$ for any C_k in $\tilde{\phi}_s(\neg r_j)$. ◀

 152 **► Lemma 16** (Overall effect of r_j). $r_j \models \tilde{\varphi}_s(r_j)$ such that $\tilde{\varphi}_s(r_j) = \tilde{\psi}_s(r_j) \wedge \tilde{\phi}_s(\neg \bar{r}_j)$.

 153 **Proof.** Follows from $r_j \models r_j \wedge \neg \bar{r}_j$, as well as from Lemma 14, and Lemma 15 via $\phi_s^{\bar{r}_j}$. ◀

154 The algorithm `Ovr1Eft` (r_j, ϕ_*) below constructs the overall effect $\tilde{\varphi}_*(r_j)$ by means of
 155 the local effect $\tilde{\psi}_*(r_j)$ (see Lines 1-6, or L:1-6), as well as of the local effect $\tilde{\phi}_*(-\bar{r}_j)$ (L:7-10).

Algorithm 1 `Ovr1Eft` (r_j, ϕ_*) \triangleright Construction of the overall effect $\tilde{\varphi}_*(r_j)$ due to r_j over ϕ_*

```

1: for all  $k \in \mathfrak{C}_*^{r_j}$  over  $\phi_*$  do  $\triangleright$  Construction of the local effect  $\tilde{\psi}_*(r_j)$  due to  $r_j$  (Lemma 14)
2:   for all  $r_i \in (C_k - \{r_j\})$  do  $\triangleright$   $\tilde{\psi}_*(r_j)$  gets  $r_j$  via  $r_e$  (see Scope L:4), or via  $\bar{r}_j$  (Remove L:2)
3:      $c_k \leftarrow c_k \cup \{\bar{r}_i\}; \triangleright (r_{jk} \odot r_{i_1k} \odot r_{i_2k}) \searrow (\bar{r}_{i_1k} \wedge \bar{r}_{i_2k})$ . That is,  $C_k \searrow c_k$  (see Definition 2/5)
4:   end for
5:    $\tilde{\psi}_*(r_j) \leftarrow \tilde{\psi}_*(r_j) \cup c_k; \triangleright c_k$  consists in  $\psi_s(r_j)$  (see Scope L:4), or in  $\psi_s$  (see Remove L:2)
6: end for  $\triangleright$  L:1-6 are independent from L:7-10, since  $\mathfrak{C}_*^{r_j} \cap \mathfrak{C}_*^{\bar{r}_j} = \emptyset$ , i.e.,  $\mathfrak{C}_*^{r_j} \cap \mathfrak{C}_*^{\bar{r}_j} = \emptyset$  (Lemma 10)
7: for all  $k \in \mathfrak{C}_*^{\bar{r}_j}$  over  $\phi_*$  do  $\triangleright$  Construction of the local effect  $\tilde{\phi}_*(-\bar{r}_j)$  due to  $-\bar{r}_j$  (Lemma 15)
8:    $C_k \leftarrow C_k - \{\bar{r}_j\}; \triangleright (\bar{r}_{jk} \odot r_{u_1k} \odot r_{u_2k}) \mapsto (r_{u_1k} \odot r_{u_2k})$ , or  $(\bar{r}_{jk} \odot r_{uk}) \mapsto (r_{uk})$  (Definition 6)
9:   if  $|C_k| = 1$  then  $\tilde{\psi}_*(r_j) \leftarrow \tilde{\psi}_*(r_j) \cup C_k; C_k \leftarrow \emptyset; \triangleright \tilde{\phi}_*(-\bar{r}_j)$  contains no singleton,  $C_k \mapsto c_k$ 
10: end for  $\triangleright 3\setminus 2$ -literal  $C_k$  in  $\phi_*^{\bar{r}_j}$  shrinks due to  $-\bar{r}_j$  to 2-literal  $C_k$  in  $\phi_*^{\bar{r}_j} \setminus$  to conjoin  $r_u$  in  $\tilde{\psi}_*(r_j)$ 
11: return  $\tilde{\psi}_*(r_j) \ \& \ \tilde{\phi}_*(-\bar{r}_j) \leftarrow \phi_*^{\bar{r}_j}; \triangleright \tilde{\phi}_*(-\bar{r}_j) = \bigcup C_k$  such that  $|C_k| > 1$ , or  $\tilde{\phi}_*(-\bar{r}_j) = \{\{\}\}$ 

```

156 \triangleright **Definition 17.** $\not\models \varphi_s(r_j)$ iff r_j is incompatible, that is, the assumption $\bar{r}_j = \mathbf{T}$ cannot hold.

157 \triangleright **Note.** If $\not\models \varphi_s(r_j)$, r_j is incompatible, it is removed from ϕ_s , that is, $-\bar{r}_j$ holds over ϕ_s .

158 \triangleright **Note 18.** $\varphi_s(r_j) = \psi_s \wedge r_j \wedge \phi_s$ by Definition 3/7, hence $\not\models \varphi_s(r_j)$ if $\not\models (\psi_s \wedge r_j)$ or $\not\models \phi_s(r_j)$.

159 \triangleright **Note 19 (Assumption).** $\not\models \phi_s(r_j)$ is verified through *solely* $\psi_s(r_j)$, called the scope of r_j .

160 \triangleright **Lemma 20 (Scope construction).** $r_j \models \psi_s(r_j)$ such that $\psi_s(r_j) = \bigwedge c_k$, unless $\not\models \psi_s(r_j)$.

161 **Proof.** $\phi_s(r_j) = r_j \wedge \phi_s$ by Definition 7, as $r_j = \mathbf{T}$. Then, a *deterministic* chain of reductions
 162 is initiated (Note 8). That is, $r_j \Rightarrow r_j \wedge x_i \wedge \bar{x}_u$ due to any clause $(r_j \odot \bar{x}_i \odot x_u)$ containing r_j ,
 163 as well as $-\bar{r}_j \Rightarrow (\bar{x}_u \odot x_v)$ due to any clause $(\bar{r}_j \odot \bar{x}_u \odot x_v)$ containing \bar{r}_j . These reductions
 164 proceed, as long as new conjuncts r_e emerge in $\phi_s(r_j)$ (see Scope L:2-4). If the reductions
 165 are interrupted, then r_j is incompatible (L:5). If they terminate, then the scope $\psi_s(r_j)$ and
 166 beyond the scope $\phi'_s(r_j)$ are constructed (L:9), where $\psi_s(r_j) = \bigwedge c_k$ and $\phi'_s(r_j) = \bigwedge C_k$. \blacktriangleleft

Algorithm 2 `Scope` (r_j, ϕ_s) \triangleright Construction of $\psi_s(r_j)$ and $\phi'_s(r_j)$ due to r_j over ϕ_s ; $\varphi_s = \psi_s \wedge \phi_s$

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1:  $\psi_s(r_j) \leftarrow \{r_j\}; \phi_* \leftarrow \phi_s; \triangleright \phi_s(r_j) := r_j \wedge \phi_s$ .  $\psi_s$  and  $\phi_s$  are disjoint due to Scan L:1-3
2: for all  $r_e \in (\psi_s(r_j) - R)$  do  $\triangleright$  Reductions of  $C_k$  initiated by  $r_j$  over  $\phi_s$  start off
3:   Ovr1Eft ( $r_e, \phi_*$ );  $\triangleright$  It returns  $\tilde{\psi}_*(r_e)$  for L:4 &  $\tilde{\phi}_*(-\bar{r}_e)$  for L:6
4:    $\psi_s(r_j) \leftarrow \psi_s(r_j) \cup \{r_e\} \cup \tilde{\psi}_*(r_e); \triangleright \tilde{\psi}_*(r_e)$  (see Ovr1Eft L:5,9) consists in the scope  $\psi_s(r_j)$ 
5:   if  $\psi_s(r_j) \supseteq \{x_i, \bar{x}_i\}$  then return NULL;  $\triangleright r_j \Rightarrow x_i \wedge \bar{x}_i, i \in \mathfrak{L}^\phi$ .  $\not\models \psi_s(r_j)$ , thus  $\not\models \phi_s(r_j)$ 
6:    $\tilde{\phi}_*(-\bar{r}) \leftarrow \tilde{\phi}_*(-\bar{r}) \cup \tilde{\phi}_*(-\bar{r}_e); \triangleright \tilde{\phi}_*(-\bar{r}) = \{\{\}\}$  or  $\tilde{\phi}_*(-\bar{r}) = \bigcup C_k, |C_k| > 1$  (Ovr1Eft L:8-11)
7:    $\phi_* \leftarrow \tilde{\phi}_*(-\bar{r}) \wedge \phi'_*; R \leftarrow R \cup \{r_e\}; \triangleright \tilde{\phi}_*(-\bar{r})$  and  $\phi'_*$  consist in beyond the scope  $\phi'_s(r_j)$ 
    $\triangleright \phi'_* = \bigwedge C_k$  for  $k \in \mathfrak{C}'_*$ , where  $\mathfrak{C}'_* = \mathfrak{C}_* - (\mathfrak{C}_*^{x_e} \cup \mathfrak{C}_*^{\bar{x}_e})$ , and  $\mathfrak{C}_*^{x_e} \cap \mathfrak{C}_*^{\bar{x}_e} = \emptyset$  due to Lemma 10
8: end for  $\triangleright$  The reductions terminate if  $\psi_s(r_j) = R$ , which denotes conjuncts already reduced  $C_k$ 
9: return  $\psi_s(r_j) \ \& \ \phi'_s(r_j) \leftarrow \phi_*; \triangleright \phi_s(r_j) = \psi_s(r_j) \wedge \phi'_s(r_j); \psi_s(r_j) = \bigwedge c_k = \bigwedge r_j, \phi'_s(r_j) = \bigwedge C_k$ 

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167 \triangleright **Note 21.** $\mathfrak{L}_s(r_j)$ being an index set of $\psi_s(r_j)$, $\mathfrak{L}_s(r_j) \cap \mathfrak{L}'_s(r_j) = \emptyset$ and $\mathfrak{L}_s(r_j) \cup \mathfrak{L}'_s(r_j) = \mathfrak{L}^\phi$,
 168 if `Scope` (r_j, ϕ_s) terminates. As a result, $\psi_s(r_j)$ and $\phi'_s(r_j)$ are disjoint, and compose $\phi_s(r_j)$.

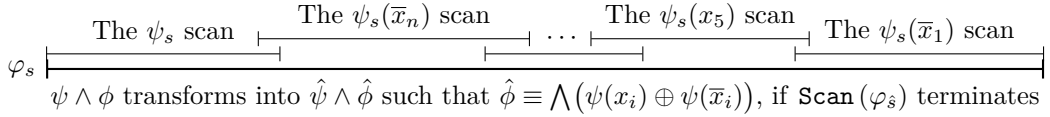
169 \triangleright **Note 22.** If `Scan` (φ_s) terminates, then ψ_s and ϕ_s are disjoint, and compose φ_s such that
 170 $\psi_s = \bigwedge c_k$ (see Definition 2), and that $\phi_s = \bigwedge C_k$, in which $|C_k| > 1$, because each $C_k = \{r_i\}$
 171 in ϕ_s for any s transforms into r_i in ψ_s . That is, $C_k = (r_i \odot r_j)$ or $C_k = (r_i \odot r_j \odot r_u)$ in ϕ_s .

23:6 On the Tractability of Un/Satisfiability

172 ► **Example 23.** Consider $\psi(x_1)$, $\text{Scope}(x_1, \phi)$, for $\phi = (x_1 \odot \bar{x}_3) \wedge (x_1 \odot \bar{x}_2 \odot x_3) \wedge (x_2 \odot \bar{x}_3)$.
 173 $\psi(x_1) \leftarrow \{x_1\}$ and $\phi_* \leftarrow \phi$ (L:1). Then, $\phi_*^{x_1}$ is empty, and $\phi_*^{x_1} = (x_1 \odot \bar{x}_3) \wedge (x_1 \odot \bar{x}_2 \odot x_3)$ due
 174 to $\text{OvrLEft}(x_1, \phi_*)$. Also, $\mathfrak{C}_*^{x_1} = \{1, 2\}$, thus $c_1 \leftarrow \{x_3\}$ and $\tilde{\psi}_*(x_1) \leftarrow \tilde{\psi}_*(x_1) \cup c_1$, as well as
 175 $c_2 \leftarrow \{x_2, \bar{x}_3\}$ and $\tilde{\psi}_*(x_1) \leftarrow \tilde{\psi}_*(x_1) \cup c_2$ (see OvrLEft L:1-6). Then, $\tilde{\psi}_*(x_1) = \{x_3, x_2, \bar{x}_3\}$
 176 & $\tilde{\phi}_*(-\bar{x}_1) \leftarrow \phi_*^{x_1}$ (OvrLEft L:11). As a result, $\psi(x_1) \leftarrow \psi(x_1) \cup \{x_1\} \cup \tilde{\psi}_*(x_1)$ (Scope L:4),
 177 and $\psi(x_1) \supseteq \{x_3, \bar{x}_3\}$ (L:5), that is, $x_1 \Rightarrow x_3 \wedge \bar{x}_3$, hence x_1 is incompatible in the *first* scan.

178 ► **Definition 24.** $\mathfrak{L}^\psi = \{i \in \mathfrak{L} \mid r_i \in \psi_s\}$ and $\mathfrak{L}^\phi = \{i \in \mathfrak{L} \mid r_i \in C_k \text{ in } \phi_s\}$ due to $\varphi_s = \psi_s \wedge \phi_s$.

179 Figure 4 illustrates $\text{Scan}(\varphi_s)$. It decomposes $\phi_s = \bigwedge C_k$ into $\psi_s(x_1), \psi_s(\bar{x}_1), \dots, \psi_s(x_n),$
 180 $\psi_s(\bar{x}_n)$, thus checks if $\not\models \phi_s(x_i)$ and $\not\models \phi_s(\bar{x}_i)$, where $\psi_s(\cdot) = \bigwedge c_k$ is true by Definition 2.



■ **Figure 4** Scan decomposes ϕ_s into $\psi_s(x_1), \psi_s(\bar{x}_1), \dots, \psi_s(\bar{x}_n)$, and transforms $\psi \wedge \phi$ into $\hat{\psi} \wedge \hat{\phi}$

181 $\text{Scan}(\varphi_s)$ checks incompatibility of r_i for every $i \in \mathfrak{L}^\phi$. If $\bar{r}_i \in \psi_s$, then r_i is incompatible
 182 *trivially* (L:1-2). If $r_i \Rightarrow x_j \wedge \bar{x}_j$, then r_i is incompatible *nontrivially* (L:6). See also Note 18.
 183 For example, \bar{x}_1 is incompatible trivially due to $x_1 \wedge (x_1 \odot x_2 \odot \bar{x}_3)$, since $1 \in \mathfrak{L}^\phi$ and $x_1 \in \psi_s$.
 184 Note that $\bar{x}_1 \Rightarrow \bar{x}_1 \wedge x_1$. If $\text{Scan}(\varphi_s)$ is interrupted (see Remove L:3), then φ is unsatisfiable.
 185 If the scan terminates (L:9), then a satisfiable assignment α is constructed (see Section 3.4).

Algorithm 3 $\text{Scan}(\varphi_s)$ \triangleright Checks if $\not\models \varphi_s(r_i)$ for all $i \in \mathfrak{L}^\phi$. See also Note 18. $\varphi_s = \psi_s \wedge \phi_s$

- 1: **for all** $i \in \mathfrak{L}^\phi$ and $\bar{r}_i \in \psi_s$ **do** $\triangleright \varphi_s(r_i) = \psi_s \wedge r_i \wedge \phi_s$, thus $\not\models (\psi_s \wedge r_i)$, that is, $r_i \Rightarrow x_i \wedge \bar{x}_i$
- 2: **Remove** (r_i, ϕ_s) ; $\triangleright \bar{r}_i$ is *necessary*, thus r_i is incompatible *trivially*, hence $\bar{r}_i \Rightarrow \neg r_i$
- 3: **end for** \triangleright If $i \in \mathfrak{L}^\psi$, r_i has been already removed, hence $\bar{r}_i \in \psi_s$ and $\bar{r}_i \notin C_k \forall k \in \mathfrak{C}_s$, i.e., $i \notin \mathfrak{L}^\phi$
- 4: **for all** $i \in \mathfrak{L}^\phi$ **do** $\triangleright \mathfrak{L}^\psi \cap \mathfrak{L}^\phi = \emptyset$ due to L:1-3. Hence, $i \in \mathfrak{L}^\psi$ iff $r_i = x_i$ is *fixed* or $r_i = \bar{x}_i$ is *fixed*
- 5: **for all** $r_i \in \{x_i, \bar{x}_i\}$ **do** \triangleright Each and every x_i and \bar{x}_i *assumed* to be true is to be *verified*
- 6: **if** $\text{Scope}(r_i, \phi_s)$ is NULL **then** **Remove** (r_i, ϕ_s) ; \triangleright Incompatible *nontrivially* if $\not\models \phi_s(r_i)$
- 7: **end for** \triangleright If $r_i \Rightarrow x_j \wedge \bar{x}_j$, hence $\neg x_j \vee \neg \bar{x}_j \Rightarrow \neg r_i$, then $\neg r_i \Rightarrow \bar{r}_i$, where $i \neq j$ due to L:1-3
- 8: **end for** $\triangleright \neg r_i$ iff \bar{r}_i , since $\neg r_i \Rightarrow \bar{r}_i$ due to nontrivial, and $\neg r_i \Leftarrow \bar{r}_i$ due to trivial incompatibility
- 9: **return** $\hat{\varphi} = \hat{\psi} \wedge \hat{\phi}$, and $\psi(r_i)$ & $\phi'(r_i)$ for all $i \in \mathfrak{L}^\phi$; $\triangleright \hat{\psi} \leftarrow \psi_s$ and $\hat{\phi} \leftarrow \phi_s$. See also Note 22

186 ► **Note 25.** \mathfrak{L}^ψ and \mathfrak{L}^ϕ form a partition of \mathfrak{L} due to Definition 24 and Scan L:1-3.

187 $\text{Remove}(r_j, \phi_s)$ leads to reductions of any $C_k \ni \bar{r}_j$ due to \bar{r}_j , which consists in ψ_{s+1} (see
 188 L:1-2), as well as of any $C_k \ni r_j$ due to $\neg r_j$, which consists in ϕ_{s+1} (see L:1,5). Note that ψ_s
 189 denotes the current conjuncts (in φ_s), and that ψ denotes the initial conjuncts (in φ).

Algorithm 4 $\text{Remove}(r_j, \phi_s)$ $\triangleright r_j$ is incompatible/removed iff \bar{r}_j is necessary, i.e., $\neg r_j$ iff \bar{r}_j

- 1: $\text{OvrLEft}(\bar{r}_j, \phi_s)$; $\triangleright \text{OvrLEft}$ is defined over $\phi_s = \bigwedge C_k, |C_k| > 1$, and returns $\tilde{\psi}_s(\bar{r}_j)$ & $\tilde{\phi}_s(\neg r_j)$
- 2: $\psi_{s+1} \leftarrow \psi_s \cup \{\bar{r}_j\} \cup \tilde{\psi}_s(\bar{r}_j)$; $\triangleright \psi_{s+1} = \bigwedge c_k$ is true by Definition 2, unless ψ_{s+1} involves $x_i \wedge \bar{x}_i$
- 3: **if** $\psi_{s+1} \supseteq \{x_i, \bar{x}_i\}$ for some i **then return** φ is unsatisfiable; $\triangleright \varphi_s = \psi_s \wedge \phi_s$
- 4: $\mathfrak{L}^\phi \leftarrow \mathfrak{L}^\phi - \{j\}$; $\mathfrak{L}^\psi \leftarrow \mathfrak{L}^\psi \cup \{j\}$;
- 5: $\phi_{s+1} \leftarrow \tilde{\phi}_s(\neg r_j) \wedge \phi'_s$; Update $\{C_k\}$ over ϕ_{s+1} ; $\triangleright \phi'_s$ denotes clauses beyond the entire ψ_s effect
 $\triangleright \phi'_s = \bigwedge C_k$ for $k \in \mathfrak{C}'_s$, where $\mathfrak{C}'_s = \mathfrak{C}_s - (\mathfrak{C}_s^{x_j} \cup \mathfrak{C}_s^{\bar{x}_j})$, and $\mathfrak{C}_s^{x_j} \cap \mathfrak{C}_s^{\bar{x}_j} = \emptyset$ due to Lemma 10
- 6: $\text{Scan}(\varphi_{s+1})$; $\triangleright r_i$ verified compatible for $\check{s} \leq s$ can be incompatible for $\check{s} > s$ due to $\neg r_j$ in ϕ_s

3.3 Unsatisfiability of $\phi(r_j)$ vs Unsatisfiability of $\psi_s(r_j)$ for some s

This section tackles satisfiability of φ through unsatisfiability of a truth assignment $\phi(r_j)$.

► **Proposition 26** (Nontrivial incompatibility). $\not\models \phi(r_j)$ iff $\not\models \psi_s(r_j)$ or $\not\models \phi'_s(r_j)$ for some s .

Proof. Proof is obvious due to $\phi_s(r_j) = \psi_s(r_j) \wedge \phi'_s(r_j)$, transformed from $\phi_s(r_j) := r_j \wedge \phi_s$ through **Scope** (r_j, ϕ_s). Moreover, $\not\models \phi(r_j)$ iff $\not\models \phi_s(r_j)$ for some s due to Theorem 36. ◀

► **Remark.** It is trivial to verify $\not\models \psi_s(r_j)$ (see **Scope** L:5). It is *redundant* to check if $\not\models \phi'_s(r_j)$, since $\not\models \phi_s(r_j)$ is verified *solely* via $\not\models \psi_s(r_j)$ by assumption (Note 19). Thus, it is easy to verify $\not\models \phi_s(r_j)$ for **Scan** L:6. The following introduces the tools to justify this assumption.

$\mathfrak{L}_s(r_i) = \mathfrak{L}(\psi_s(r_i))$ denotes the index set of the scope $\psi_s(r_i)$. Likewise, $\mathfrak{L}'_s(r_i) = \mathfrak{L}(\phi'_s(r_i))$. Also, we define the conditional scope $\psi_s(r_i|r_j)$ and beyond the scope $\phi'_s(r_i|r_j)$ over $\phi'_s(r_j)$ for any $j \neq i$, which are constructed by **Scope** ($r_i, \phi'_s(r_j)$). Thus, $\mathfrak{L}_s(r_i|r_j) = \mathfrak{L}(\psi_s(r_i|r_j))$.

► **Lemma 27** (No conjunct exists in beyond the scope). $\mathfrak{L}_s(r_j) \cap \mathfrak{L}'_s(r_j) = \emptyset$ for any $j \in \mathfrak{L}^\phi$.

Proof. $\phi'_s(r_j) = \bigwedge C_k$ by **Scope** (r_j, ϕ_s). Let r_i the *conjunct* be in C_k , $i \in (\mathfrak{L}_s(r_j) \cap \mathfrak{L}'_s(r_j))$. Then, for any $C_k \ni r_i$, $(r_i \odot x_j \odot \bar{x}_u) \searrow (r_i \wedge \bar{x}_j \wedge x_u)$, thus $r_i \notin C_k$. Moreover, for any $C_k \ni \bar{r}_i$, $(\bar{r}_i \odot r_v \odot r_y) \rightarrow (r_v \odot r_y)$, thus $\bar{r}_i \notin C_k$. See Definition 5/6. Hence, $i \notin (\mathfrak{L}_s(r_j) \cap \mathfrak{L}'_s(r_j))$. ◀

► **Note.** No conjunct exists in any clause C_k due to Note 25, which states $\mathfrak{L}^\psi \cap \mathfrak{L}^\phi = \emptyset$.

► **Lemma 28.** \mathfrak{L}^ϕ is partitioned into $\mathfrak{L}_s(r_j)$, $\mathfrak{L}_s(r_{j_1}|r_j)$, \dots , $\mathfrak{L}_s(r_{j_n}|r_{j_m})$ by means of **Scope**.

► **Lemma 29.** $\phi_s(r_j)$ is decomposed into disjoint $\psi_s(r_j)$, $\psi_s(r_{j_1}|r_j)$, \dots , $\psi_s(r_{j_n}|r_{j_m})$.

Proof. **Scope** (r_j, ϕ_s) partitions \mathfrak{L}^ϕ into $\mathfrak{L}_s(r_j)$ and $\mathfrak{L}'_s(r_j)$ for any $j \in \mathfrak{L}^\phi$ (see Lemma 27). Thus, $\phi_s(r_j)$ is decomposed into *disjoint* $\psi_s(r_j)$ and $\phi'_s(r_j)$. **Scope** ($r_{j_1}, \phi'_s(r_j)$) partitions $\mathfrak{L}'_s(r_j)$ into $\mathfrak{L}_s(r_{j_1}|r_j)$ and $\mathfrak{L}'_s(r_{j_1}|r_j)$ for any $j_1 \in \mathfrak{L}'_s(r_j)$. Thus, $\phi'_s(r_j)$ is decomposed into *disjoint* $\psi_s(r_{j_1}|r_j)$ and $\phi'_s(r_{j_1}|r_j)$. Finally, $\phi'_s(r_{j_m}|r_{j_l})$ is decomposed into *disjoint* $\psi_s(r_{j_n}|r_{j_m})$ and $\phi'_s(r_{j_n}|r_{j_m})$ for any $j_n \in \mathfrak{L}'_s(r_{j_m}|r_{j_l})$ such that $\mathfrak{L}'_s(r_{j_n}|r_{j_m}) = \emptyset$ (see also Note 21). ◀

Let the scan *terminate* (see **Scan** L:9), thus $\psi \wedge \phi$ transforms into $\hat{\psi} \wedge \hat{\phi}$. Let $\phi \leftarrow \hat{\phi}$, thus $\mathfrak{L} \leftarrow \mathfrak{L}^\phi$. Also, $\psi(r_i) = \mathbf{T}$ for every $i \in \mathfrak{L}$ and $r_i \in \{x_i, \bar{x}_i\}$. Then, Lemma 29 leads to the fact (Theorem 34) that it is *redundant* to check if $\not\models \phi'_s(r_j)$ to verify $\not\models \phi_s(r_j)$ for any s .

► **Lemma 30.** $\phi'(r_j)$ is decomposed into disjoint $\psi(r_{j_1}|r_j)$, $\psi(r_{j_2}|r_{j_1})$, \dots , $\psi(r_{j_n}|r_{j_m})$.

Proof. Follows from Lemma 29, and from $\phi(r_j) = \psi(r_j) \wedge \phi'(r_j)$ due to **Scope** (r_j, ϕ). ◀

► **Lemma 31.** $\phi \supseteq \phi'(r_j) \supseteq \phi'(r_{j_1}|r_j) \supseteq \phi'(r_{j_2}|r_{j_1}) \supseteq \dots \supseteq \phi'(r_{j_n}|r_{j_m})$, since it terminates.

Proof. Some C_k in ϕ collapse to some c_k in $\psi(r_j)$ due to **Scope** (r_j, ϕ) (see Lemma 20). As a result, the number of C_k in ϕ is greater than or equal to that of C_k in $\phi'(r_j)$, thus $|\mathfrak{C}| \geq |\mathfrak{C}'|$, where \mathfrak{C} denotes an index set of C_k in ϕ . Also, some C_k in ϕ shrink to some $C_{k'}$ in $\phi'(r_j)$, thus $\forall k' \in \mathfrak{C}' \exists k \in \mathfrak{C} [C_k \supseteq C_{k'}]$. Hence, $\phi \supseteq \phi'(r_j)$. Likewise, $\phi'(r_j) \supseteq \phi'(r_{j_1}|r_j)$, since $\phi'(r_j)$ is decomposed into $\psi(r_{j_1}|r_j)$ and $\phi'(r_{j_1}|r_j)$ via **Scope** ($r_{j_1}, \phi'(r_j)$). Therefore, $\phi \supseteq \phi'(r_j) \supseteq \phi'(r_{j_1}|r_j) \supseteq \phi'(r_{j_2}|r_{j_1}) \supseteq \dots \supseteq \phi'(r_{j_n}|r_{j_m})$, where $\phi'(r_{j_n}|r_{j_m}) = \phi'(r_{j_n}|r_j, r_{j_1}, \dots, r_{j_m})$. ◀

► **Lemma 32** (Any scope entails its conditional scope). $\psi(r_i) \models \psi(r_i|r_j)$, since it terminates.

Proof. $\phi \supseteq \phi'(r_j)$ due to Lemma 31. **Scope** (r_i, ϕ) constructs the scope $\psi(r_i)$ over ϕ , while **Scope** ($r_i, \phi'(r_j)$) constructs the conditional scope $\psi(r_i|r_j)$ over $\phi'(r_j)$, thus $\psi(r_i) \supseteq \psi(r_i|r_j)$, where $\psi(r_i) = \bigwedge c_k$ by Definition 2 and Lemma 20. Since $\psi(r_i) \supseteq \psi(r_i|r_j)$ and $\psi(r_i)$ is true for all r_i in ϕ , $\psi(r_i|r_j)$ is true for all r_i in $\phi'(r_j)$. Hence, $\psi(r_i) \models \psi(r_i|r_j)$ (see Figure 2). ◀

23:8 On the Tractability of Un/Satisfiability

230 ► **Lemma 33.** $\psi(r_i|r_j), \psi(r_i|r_j, r_{j_1}), \dots, \psi(r_i|r_j, r_{j_1}, \dots, r_{j_m})$ is true for every $j \in \mathcal{L}$, and for
231 every $i \in \mathcal{L}'(r_j), i \in \mathcal{L}'(r_{j_1}|r_j), \dots, i \in \mathcal{L}'(r_{j_m}|r_j, r_{j_1}, \dots, r_{j_1})$, because the scan terminates.

232 **Proof.** Recall that the scan *terminates*. Thus, $\hat{\phi} = \hat{\psi} \wedge \hat{\phi}$, and $\phi := \hat{\phi}$ and $\mathcal{L} := \mathcal{L}^{\hat{\phi}}$ (see also
233 Note 22). Hence, a truth assignment $\psi(r_i)$ holds for every $i \in \mathcal{L}$ and $r_i \in \{x_i, \bar{x}_i\}$. Moreover,
234 $\phi \supseteq \phi'(r_j) \supseteq \phi'(r_{j_1}|r_j) \supseteq \phi'(r_{j_2}|r_{j_1}) \supseteq \dots \supseteq \phi'(r_{j_n}|r_{j_m})$ due to Lemma 31 for any $j \in \mathcal{L}$, and
235 $j_1 \in \mathcal{L}'(r_j), \dots, j_n \in \mathcal{L}'(r_{j_m}|r_{j_1})$. Then, $\psi(r_i) \supseteq \psi(r_i|r_j), \dots, \psi(r_i) \supseteq \psi(r_i|r_j, r_{j_1}, \dots, r_{j_m})$, in
236 which $\psi(r_i) \supseteq \psi(r_i|r_j, r_{j_1})$ via **Scope** $(r_i, \phi'(r_{j_1}|r_j))$, thus $\psi(r_i) \models \psi(r_i|r_j, r_{j_1})$. Therefore,
237 any $\psi(r_i|r_j), \psi(r_i|r_j, r_{j_1}), \dots, \psi(r_i|r_j, r_{j_1}, \dots, r_{j_m})$ is true, which generalizes Lemma 32. ◀

238 ► **Theorem 34 (Unsatisfiability).** $\not\models \phi(r_j), r_j$ is incompatible, iff $\not\models \psi_s(r_j)$ for some s .

239 ► **Corollary 35 (Satisfiability).** $\models_{\alpha} \phi$ iff a truth assignment $\psi(r_i)$ holds $\forall i \in \mathcal{L}, r_i \in \{x_i, \bar{x}_i\}$.

240 **Proof.** $\psi(r_{j_1}|r_j), \psi(r_{j_2}|r_{j_1}), \dots, \psi(r_{j_n}|r_{j_m})$ form disjoint minterms over $\phi'(r_j)$ (Lemma 30)
241 such that $\psi(r_{j_1}|r_j), \psi(r_{j_2}|r_{j_1}), \dots, \psi(r_{j_n}|r_{j_m})$ are true (Lemma 33) for any $j \in \mathcal{L}, j_1 \in \mathcal{L}'(r_j),$
242 $j_2 \in \mathcal{L}'(r_{j_1}|r_j), \dots, j_n \in \mathcal{L}'(r_{j_m}|r_{j_1})$. Then, $\phi'(r_j)$ is composed of $\psi(\cdot)$ the minterms true and
243 disjoint, hence $\phi'(r_j)$ is *satisfied*, thus unsatisfiability of $\phi'_s(r_j)$ is *ignored* to verify $\not\models \phi_s(r_j)$.
244 Therefore, Theorem 34 holds (cf. Proposition 26). Moreover, $\psi(r_i) \equiv \phi(r_i)$, since $\phi'(r_i)$ is
245 satisfied, and $\phi(r_i) = \psi(r_i) \wedge \phi'(r_i)$. Therefore, Corollary 35 holds (see also Appendix A). ◀

246 ► **Theorem 36 (Incompatibility is monotonic).** $\not\models \varphi_s(r_j)$ for all $s > \bar{s}$ if $\not\models \varphi_{\bar{s}}(r_j)$, even if $\neg r_i$.

247 **Proof.** $\not\models \varphi_s(r_j)$, if $\not\models (\psi_s \wedge r_j)$ or $\not\models \phi_s(r_j)$ (**Scan L:1,6**). $\psi_s \supseteq \psi_{\bar{s}}$ for all $s > \bar{s}$ (**Remove L:2**),
248 thus $\not\models (\psi_s \wedge r_j)$ for all $s > \bar{s}$, if $\not\models (\psi_{\bar{s}} \wedge r_j)$. Let $\not\models \phi_s(r_j)$ due to $x_i \wedge \bar{x}_i$, hence $\bar{x}_i \vee x_i \Rightarrow \bar{r}_j$,
249 thus $\bar{r}_j \in \psi_s$, and $\not\models (\psi_s \wedge r_j)$ for all $s > \bar{s}$. If $\not\models \varphi_{\bar{s}}(r_i)$ for $\bar{s} \leq \bar{s}$, then $\neg r_i \Rightarrow \bar{r}_i$ and $\bar{r}_i \Rightarrow \bar{r}_j$,
250 thus $\bar{r}_j \in \psi_s$ *still* holds, and $\not\models (\psi_s \wedge r_j)$ for all $s > \bar{s}$, hence all $s > \bar{s}$. If $\not\models \varphi_s(r_i)$ for $s > \bar{s}$,
251 then $\not\models (\psi_s \wedge r_j)$ *still* holds for all $s > \bar{s}$, since $x_j \notin C_k$ and $\bar{x}_j \notin C_k$, while $r_i \in C_k$ in ϕ_s . ◀

252 ► **Proposition 37.** The time complexity of **Scan** is $O(mn^3)$.

253 **Proof.** **OverLeft**, and **Remove**, takes $4m$ steps by $(|\mathcal{C}_*^{r_j}| \times |C_k|) + |\mathcal{C}_*^{\bar{r}_j}| = 3m + m$. **Scope** takes
254 $n4m$ steps by $|\psi_s(r_j)| \times 4m$. Then, **Scan** takes n^24m steps due to L:1-3 by $|\mathcal{L}^{\phi}| \times |\psi_s| \times 4m$,
255 as well as $8n^2m + 8nm$ steps due to L:4-8 by $2|\mathcal{L}^{\phi}| \times (4nm + 4m)$. Also, the number of the
256 scans is $\hat{s} \leq |\mathcal{L}^{\phi}|$ due to **Remove L:6**. Therefore, the time complexity of **Scan** is $O(n^3m)$. ◀

257 ► **Example 38.** Let $\varphi = \{\{x_3, x_4, \bar{x}_5\}, \{x_3, x_6, \bar{x}_7\}, \{x_4, x_6, \bar{x}_7\}\}$. Let **Scope** (x_3, ϕ) execute
258 *first* in the *first* scan, which leads to the reductions below over ϕ due to x_3 . Note that $\psi = \emptyset$.

$$\phi(x_3) = (x_3 \odot x_4 \odot \bar{x}_5) \wedge (x_3 \odot x_6 \odot \bar{x}_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7) \wedge x_3$$

$$x_3 \Rightarrow (x_3 \wedge \bar{x}_4 \wedge x_5) \wedge (x_3 \wedge \bar{x}_6 \wedge x_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7) \wedge x_3$$

$$\bar{x}_4 \Rightarrow (x_3 \wedge \bar{x}_4 \wedge x_5) \wedge (x_3 \wedge \bar{x}_6 \wedge x_7) \wedge (x_6 \odot \bar{x}_7) \wedge x_3$$

$$\bar{x}_6 \Rightarrow (x_3 \wedge \bar{x}_4 \wedge x_5) \wedge (x_3 \wedge \bar{x}_6 \wedge x_7) \wedge (\bar{x}_7) \wedge x_3$$

260 Because $\not\models (\psi(x_3) = x_3 \wedge \bar{x}_4 \wedge x_5 \wedge \bar{x}_6 \wedge x_7 \wedge \bar{x}_7)$, x_3 is incompatible, hence \bar{x}_3 is necessary,
261 i.e., $\neg x_3 \Rightarrow \bar{x}_3$. Thus, $\varphi \rightarrow \varphi_2$ by $(x_3 \odot x_4 \odot \bar{x}_5) \rightarrow (x_4 \odot \bar{x}_5)$ and $(x_3 \odot x_6 \odot \bar{x}_7) \rightarrow (x_6 \odot \bar{x}_7)$.

262 As a result, $\varphi_2 = (x_4 \odot \bar{x}_5) \wedge (x_6 \odot \bar{x}_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7) \wedge \bar{x}_3$. Let **Scope** (x_5, ϕ_2) execute next.

$$\phi_2(x_5) = (x_4 \odot \bar{x}_5) \wedge (x_6 \odot \bar{x}_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7) \wedge x_5$$

$$x_5 \Rightarrow (x_4 \quad) \wedge (x_6 \odot \bar{x}_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7) \wedge x_5$$

$$x_4 \Rightarrow (x_4 \quad) \wedge (x_6 \odot \bar{x}_7) \wedge (x_4 \wedge \bar{x}_6 \wedge x_7) \wedge x_5$$

$$\bar{x}_6 \Rightarrow (x_4 \quad) \wedge (\bar{x}_7) \wedge (x_4 \wedge \bar{x}_6 \wedge x_7) \wedge x_5$$

264 Because $\not\models (\psi_2(x_5) = x_4 \wedge \bar{x}_7 \wedge \bar{x}_6 \wedge x_7 \wedge \bar{x}_3 \wedge x_5)$, x_5 is removed from ϕ_2 , i.e., $\neg x_5 \Rightarrow \bar{x}_5$.

265 Thus, $\varphi_2 \rightarrow \varphi_3$ by $(x_4 \odot \bar{x}_5) \searrow (\bar{x}_4 \wedge \bar{x}_5)$, where $\varphi_3 = (\bar{x}_4 \wedge \bar{x}_5) \wedge (x_6 \odot \bar{x}_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7) \wedge \bar{x}_3$,

266 and \bar{x}_4 leads to the next reduction by $(x_4 \odot x_6 \odot \bar{x}_7) \rightarrow (x_6 \odot \bar{x}_7)$. Then, **Scan** (φ_4) *terminates*,

267 and $\varphi_4 = \bar{x}_3 \wedge \bar{x}_4 \wedge \bar{x}_5 \wedge (x_6 \odot \bar{x}_7)$, that is, $\hat{\phi} = \hat{\psi} \wedge \hat{\phi}$, and $\hat{\psi} = \{\bar{x}_3, \bar{x}_4, \bar{x}_5\}$ and $\hat{\phi} = \{x_6, \bar{x}_7\}$.

268 In Example 38, if $\text{Scope}(x_5, \phi)$ executes *first*, then $\psi(x_5) = x_5$ becomes the scope, and
 269 $\phi'(x_5) = (x_3 \odot x_4) \wedge (x_3 \odot x_6 \odot \bar{x}_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7)$ becomes beyond the scope of x_5 over ϕ .
 270 Then, x_5 is compatible (in ϕ) due to Theorem 34, since $\psi(x_5)$ is true, while it is incompatible
 271 due to Proposition 26, since $\not\models \phi'(x_5)$ holds. On the other hand, the fact that $\not\models \phi'(x_5)$ holds
 272 is verified indirectly. That is, incompatibility of x_5 is checked by means of $\psi_s(x_5)$ for some s .
 273 Then, x_5 becomes incompatible (in ϕ_2), because $\not\models \psi_2(x_5)$ holds, after $\varphi \rightarrow \varphi_2$ by removing
 274 x_3 from ϕ due to $\not\models \psi(x_3)$. As a result, $\not\models \phi'(x_5)$ holds due to $\neg x_3$. Thus, there exists no
 275 r_j such that $\not\models \phi'(r_j)$, when the scan *terminates*, because $\psi(r_i)$ is true for all r_i in ϕ , hence
 276 $\psi(r_i|r_j)$ is true for all r_i in $\phi'(r_j)$, after each r_j is removed if $\not\models \psi_s(r_j)$ (see also Figures 1-4).

277 3.4 Construction of a satisfiable assignment by composing minterms

278 $\hat{\phi} = \hat{\psi} \wedge \hat{\phi}$, when $\text{Scan}(\varphi_s)$ terminates. Let $\psi := \hat{\psi}$ and $\phi := \hat{\phi}$, i.e., $\mathfrak{L} := \mathfrak{L}^{\hat{\phi}}$. Then, $\models_{\alpha} \phi$ holds
 279 by Corollary 35, where α is a satisfiable assignment, and constructed by Algorithm 5 through
 280 any $(i_0, i_1, i_2, \dots, i_m, i_n)$ over \mathfrak{L} such that $\alpha = \{\psi(r_{i_0}), \psi(r_{i_1}|r_{i_0}), \psi(r_{i_2}|r_{i_1}), \dots, \psi(r_{i_n}|r_{i_{n-1}})\}$.
 281 Thus, φ is decomposed into *disjoint* minterms $\psi, \psi(r_{i_0}), \psi(r_{i_1}|r_{i_0}), \psi(r_{i_2}|r_{i_1}), \dots, \psi(r_{i_n}|r_{i_{n-1}})$
 282 (see Note 25, and Lemmas 28-29). Note that ψ is *fixed* in each satisfiable assignment for φ .
 283 Recall that $\text{Scope}(r_i, \phi)$ constructs the scope $\psi(r_i)$ and beyond the scope $\phi'(r_i)$ to determine
 284 any assignment α , unless φ itself collapses to a *unique* assignment, i.e., unless $\hat{\phi} = \alpha = \hat{\psi}$. See
 285 also Appendix A to determine α without constructing $\psi(r_i|.)$ and $\phi'(r_i|.)$ by $\text{Scope}(r_i, \phi'(.)$).

Algorithm 5 \triangleright Construction of a satisfiable assignment α over ϕ , $\mathfrak{L} := \mathfrak{L}^{\hat{\phi}}$ and $\phi := \hat{\phi}$

Pick $j \in \mathfrak{L}$; \triangleright The scope $\psi(r_i)$ and beyond the scope $\phi'(r_i)$ for all $i \in \mathfrak{L}$ are available initially
 $\alpha \leftarrow \psi(r_j)$; $\mathfrak{L} \leftarrow \mathfrak{L} - \mathfrak{L}(r_j)$; $\phi \leftarrow \phi'(r_j)$;

repeat

Pick $i \in \mathfrak{L}$; **Scope** (r_i, ϕ) ; \triangleright It constructs $\psi(r_i|r_j)$ and $\phi'(r_i|r_j)$ with respect to $\phi'(r_j)$
 $\alpha \leftarrow \alpha \cup \psi(r_i)$; $\triangleright \psi(r_i) := \psi(r_i|r_j)$, because $\psi(r_i)$ is *unconditional* with respect to ϕ updated
 $\mathfrak{L} \leftarrow \mathfrak{L} - \mathfrak{L}(r_i)$; $\triangleright \mathfrak{L} \leftarrow \mathfrak{L}'(r_i|r_j)$ due to the partition $\{\mathfrak{L}(r_j), \mathfrak{L}(r_i|r_j), \mathfrak{L}'(r_i|r_j)\}$ over \mathfrak{L}
 $\phi \leftarrow \phi'(r_i)$; $\triangleright \phi'(r_i) := \phi'(r_i|r_j)$, because $\phi'(r_i)$ is *unconditional* with respect to ϕ updated

until $\mathfrak{L} = \emptyset$

return α ; $\triangleright \psi(r_{i_n}|r_{i_m}) = \psi(r_{i_n}|r_j, r_{i_1}, \dots, r_{i_m})$ (see also Appendix A)

286 **► Definition 39.** Let $\langle \langle r_{i_1,1}, r_{i_2,1}, r_{i_3,1} \rangle, \langle r_{j_1,2}, r_{j_2,2}, r_{j_3,2} \rangle, \dots, \langle r_{u_1,m}, r_{u_2,m}, r_{u_3,m} \rangle \rangle$ be in as-
 287 cending order with respect to the index set \mathfrak{L} . If $i_3 < j_1$ for any $\langle r_{i_1,k}, r_{i_2,k}, r_{i_3,k} \rangle$ and any
 288 $\langle r_{j_1,k+1}, r_{j_2,k+1}, r_{j_3,k+1} \rangle$, then ${}^i\phi \cup {}^j\phi = \phi$ and ${}^i\phi \cap {}^j\phi = \emptyset$ such that $C_k \in {}^i\phi$ and $C_{k+1} \in {}^j\phi$.

289 **► Note.** ${}^i\phi$ and ${}^j\phi$ form a *partition* of ϕ , hence their satisfiability check can be *independent*.

290 **► Example 40.** Let ${}^1\phi = (x_1 \odot \bar{x}_2 \odot x_6) \wedge (x_3 \odot x_4 \odot \bar{x}_5) \wedge (x_3 \odot x_6 \odot \bar{x}_7) \wedge (x_4 \odot x_6 \odot \bar{x}_7)$,
 291 ${}^2\phi = (x_8 \odot x_9 \odot \bar{x}_{10})$, and ${}^3\phi = (x_{11} \odot \bar{x}_{12} \odot x_{13})$ to form $\varphi = {}^1\phi \wedge {}^2\phi \wedge {}^3\phi$ (see Definition 39).
 292 Then, $\text{Scan}(\varphi_4)$ returns φ is satisfiable. Therefore, $\hat{\phi} = \hat{\psi} \wedge \hat{\phi}$, where $\psi := \hat{\psi} = \bar{x}_3 \wedge \bar{x}_4 \wedge \bar{x}_5$
 293 and $\phi := \hat{\phi} = (x_1 \odot \bar{x}_2 \odot x_6) \wedge (x_6 \odot \bar{x}_7) \wedge {}^2\phi \wedge {}^3\phi$ (see Example 38). Then, α is constructed by
 294 composing $\psi(.)$ based on $\phi'(.)$ below, where $\mathfrak{L}^{\psi} = \{3, 4, 5\}$ and $\mathfrak{L} := \mathfrak{L}^{\hat{\phi}} = \{1, 2, \dots, 13\} - \mathfrak{L}^{\psi}$.

$$\begin{array}{ll}
 \psi(x_1) = x_1 \wedge x_2 \wedge \bar{x}_6 \wedge \bar{x}_7 & \& \phi'(x_1) = {}^2\phi \wedge {}^3\phi \\
 \psi(x_2) = x_2 & \& \phi'(x_2) = (x_1 \odot x_6) \wedge (x_6 \odot \bar{x}_7) \wedge {}^2\phi \wedge {}^3\phi \\
 \psi(\bar{x}_2) = \bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_6 \wedge \bar{x}_7 & \& \phi'(\bar{x}_2) = {}^2\phi \wedge {}^3\phi \\
 \psi(x_6) = \psi(x_7) = \bar{x}_1 \wedge x_2 \wedge x_6 \wedge x_7 & \& \phi'(x_6) = \phi'(x_7) = {}^2\phi \wedge {}^3\phi \\
 \psi(\bar{x}_6) = \psi(\bar{x}_7) = \bar{x}_6 \wedge \bar{x}_7 & \& \phi'(\bar{x}_6) = \phi'(\bar{x}_7) = (x_1 \odot \bar{x}_2) \wedge {}^2\phi \wedge {}^3\phi \\
 \psi(x_8) = x_8 \wedge \bar{x}_9 \wedge x_{10} & \& \phi'(x_8) = (x_1 \odot \bar{x}_2 \odot x_6) \wedge (x_6 \odot \bar{x}_7) \wedge {}^3\phi \\
 \psi(x_{11}) = x_{11} \wedge x_{12} \wedge \bar{x}_{13} & \& \phi'(x_{11}) = (x_1 \odot \bar{x}_2 \odot x_6) \wedge (x_6 \odot \bar{x}_7) \wedge {}^2\phi
 \end{array}$$

23:10 On the Tractability of Un/Satisfiability

296 ► **Example 41.** A satisfiable assignment α is constructed by an order of indices over \mathcal{L} , $\mathcal{L} =$
 297 $\{1, \dots, 13\} - \mathcal{L}^\psi$ (Example 40), such that $r_i := x_i$ for any $\psi(r_i)$ throughout the construction.
 298 First, pick $6 \in \mathcal{L}$. As a result, $\alpha \leftarrow \psi(x_6)$ and $\mathcal{L} \leftarrow \mathcal{L} - \mathcal{L}(x_6)$, where $\psi(x_6) = \{\bar{x}_1, x_2, x_6, x_7\}$,
 299 $\mathcal{L}(x_6) = \{1, 2, 6, 7\}$, and $\mathcal{L} \leftarrow \{8, 9, 10, 11, 12, 13\}$. Then, pick 8, hence $\alpha \leftarrow \alpha \cup \psi(x_8|x_6)$,
 300 where $\psi(x_8|x_6) = \{x_8, \bar{x}_9, x_{10}\}$. Also, $\mathcal{L} \leftarrow \mathcal{L} - \mathcal{L}(x_8|x_6)$, where $\mathcal{L}(x_8|x_6) = \{8, 9, 10\}$, hence
 301 $\mathcal{L} \leftarrow \{11, 12, 13\}$. Finally, pick 11. Therefore, $\alpha \leftarrow \alpha \cup \psi(x_{11}|x_6, x_8)$ such that $\mathcal{L} \leftarrow \emptyset$, which
 302 indicates its termination. Note that $\text{Scope}(x_{11}, \phi'(x_8|x_6))$ constructs $\psi(x_{11}|x_6, x_8)$, in which
 303 $\phi'(x_8|x_6) = {}^3\phi$, and that $\phi'(x_{11}|x_6, x_8) = \emptyset$ iff $\mathcal{L} \leftarrow \emptyset$. Note also that $\psi(x_8|x_6) = \psi(x_8)$ and
 304 $\psi(x_{11}|x_6, x_8) = \psi(x_{11})$, since ${}^1\phi$, ${}^2\phi$ and ${}^3\phi$ are disjoint (see Definition 39). Consequently,
 305 Algorithm 5 constructs $\alpha = \{\psi(x_6), \psi(x_8|x_6), \psi(x_{11}|x_6, x_8)\}$. Note that φ is *decomposed* into
 306 ψ , $\psi(x_6)$, $\psi(x_8|x_6)$, and $\psi(x_{11}|x_6, x_8)$, which are *disjoint* (see also Note 22 and Lemma 29).

307 ► **Example 42.** Let $(2, 1, 8, 11)$ be another order of indices in Example 40. This order leads
 308 to the assignment $\{\psi, \psi(x_2), \psi(x_1|x_2), \psi(x_8|x_2, x_1), \psi(x_{11}|x_2, x_1, x_8)\}$ for φ . This assignment
 309 corresponds to the partition $\{\mathcal{L}^\psi, \{2\}, \{1, 6, 7\}, \{8, 9, 10\}, \{11, 12, 13\}\}$, where $\mathcal{L}^\psi = \{3, 4, 5\}$
 310 (see also Note 25 and Lemma 28). Note that the scope $\psi(x_1)$ is constructed over ϕ , and the
 311 conditional scope $\psi(x_1|x_2)$ is constructed over $\phi'(x_2)$, where $\phi \supseteq \phi'(x_2)$. Recall that $\phi := \hat{\phi}$.
 312 Hence, $\psi(x_1) \models \psi(x_1|x_2)$, in which $\psi(x_1) = x_1 \wedge x_2 \wedge \bar{x}_6 \wedge \bar{x}_7$, while $\psi(x_1|x_2) = x_1 \wedge \bar{x}_6 \wedge \bar{x}_7$.
 313 Moreover, $\psi(x_8) \models \psi(x_8|x_2, x_1)$ due to $\phi \supseteq \phi'(x_1|x_2)$, and $\psi(x_{11}) \models \psi(x_{11}|x_2, x_1, x_8)$ due to
 314 $\phi \supseteq \phi'(x_8|x_2, x_1)$, where $\phi'(x_1|x_2) = {}^2\phi \wedge {}^3\phi$ and $\phi'(x_8|x_2, x_1) = {}^3\phi$ (see Lemmas 31-33).

3.5 An Illustrative Example

315 This section illustrates $\text{Scan}(\varphi_s)$. Let $\varphi = \phi = (x_1 \odot \bar{x}_3) \wedge (x_1 \odot \bar{x}_2 \odot x_3) \wedge (x_2 \odot \bar{x}_3)$, which
 316 is adapted from Esparza [1], and denotes a general formula by Definition 9. Note that $C_1 =$
 317 $\{x_1, \bar{x}_3\}$, $C_2 = \{x_1, \bar{x}_2, x_3\}$, and $C_3 = \{x_2, \bar{x}_3\}$. Hence, $\mathcal{C} = \{1, 2, 3\}$, and $\mathcal{L} = \mathcal{L}^\phi = \{1, 2, 3\}$.

318 $\text{Scan}(\varphi)$: There exists no conjunct in (the initial formula) φ . That is, ψ is empty (L:1).
 319 Recall that $\varphi := \varphi_1$, and that $r_i \in \{x_i, \bar{x}_i\}$. Recall also that *nontrivial* incompatibility of r_i
 320 is checked (L:4-8) via $\text{Scope}(r_i, \phi)$. Moreover, the order of incompatibility check is arbitrary
 321 (incompatibility is monotonic) by Theorem 36. Let $\text{Scope}(x_1, \phi)$ execute due to Scan L:6 .

322 $\text{Scope}(x_1, \phi)$: Since $\psi(x_1) \supseteq \{x_3, \bar{x}_3\}$, x_1 is incompatible *nontrivially* (see Example 23).
 323 Thus, \bar{x}_1 becomes necessary (a conjunct). Then, $\text{Remove}(x_1, \phi)$ executes due to Scan L:6 .

324 $\text{Remove}(x_1, \phi)$: $\mathcal{C}^{\bar{x}_1} = \emptyset$ by OvrLeft L:1 . $\mathcal{C}^{x_1} = \{1, 2\}$, thus $\phi^{x_1} = (x_1 \odot \bar{x}_3) \wedge (x_1 \odot \bar{x}_2 \odot x_3)$
 325 by OvrLeft L:7 . As a result, $\tilde{\psi}(\bar{x}_1) = \{\bar{x}_3\}$ & $\tilde{\phi}(\neg x_1) = \{\{\}, \{\bar{x}_2, x_3\}\}$, the effects of \bar{x}_1 and
 326 $\neg x_1$. Note that $C_1 \leftarrow \emptyset$. Then, $\psi_2 \leftarrow \psi \cup \{\bar{x}_1\} \cup \tilde{\psi}(\bar{x}_1)$ (Remove L:2), and $\mathcal{L}^\phi \leftarrow \mathcal{L}^\phi - \{1\}$ and
 327 $\mathcal{L}^\psi \leftarrow \mathcal{L}^\psi \cup \{1\}$ (L:4). Also, $\phi_2 \leftarrow \tilde{\phi}(\neg x_1) \wedge \phi'$, where $\tilde{\phi}(\neg x_1) = (\bar{x}_2 \odot x_3)$ and $\phi' = (x_2 \odot \bar{x}_3)$
 328 (L:5). As a result, $\psi_2 = \bar{x}_1 \wedge \bar{x}_3$, and $\phi_2 = (\bar{x}_2 \odot x_3) \wedge (x_2 \odot \bar{x}_3)$. Note that $C_1 = \{\bar{x}_2, x_3\}$ and
 329 $C_2 = \{x_2, \bar{x}_3\}$. Consequently, $\varphi_2 = \psi_2 \wedge \phi_2$, and $\text{Scan}(\varphi_2)$ executes due to Remove L:6 .

330 $\text{Scan}(\varphi_2)$: $\mathcal{C}_2 = \{1, 2\}$ and $\mathcal{L}^\phi = \{2, 3\}$ hold in ϕ_2 . Then, $\{x_2, \bar{x}_2\} \cap \psi_2 = \emptyset$ for $2 \in \mathcal{L}^\phi$,
 331 while $\bar{x}_3 \in \psi_2$ for $3 \in \mathcal{L}^\phi$ (L:1). As a result, \bar{x}_3 is *necessary* for satisfying φ_2 , hence $\bar{x}_3 \Rightarrow \neg x_3$,
 332 that is, x_3 is incompatible *trivially*. Then, $\text{Remove}(x_3, \phi_2)$ executes due to Scan L:2 .

333 $\text{Remove}(x_3, \phi_2)$: $\mathcal{C}_2^{\bar{x}_3} = \{2\}$, thus $\phi_2^{\bar{x}_3} = (x_2 \odot \bar{x}_3)$, and $\mathcal{C}_3^{x_3} = \{1\}$, thus $\phi_2^{x_3} = (\bar{x}_2 \odot x_3)$.
 334 As a result, $\tilde{\psi}_2(\bar{x}_3) = \{\bar{x}_2\} \cup \{\bar{x}_2\}$ & $\tilde{\phi}_2(\neg x_3) = \{\{\}\}$, because $C_1 = \{\bar{x}_2\}$ consists in $\tilde{\psi}_2(\bar{x}_3)$,
 335 rather than in $\tilde{\phi}_2(\neg x_3)$ (see OvrLeft L:9). Hence, $\psi_3 \leftarrow \psi_2 \cup \{\bar{x}_3\} \cup \tilde{\psi}_2(\bar{x}_3)$, $\mathcal{L}^\phi \leftarrow \mathcal{L}^\phi - \{3\}$,
 336 and $\mathcal{L}^\psi \leftarrow \mathcal{L}^\psi \cup \{3\}$, i.e., $\mathcal{L}^\phi = \{2\}$. Therefore, $\phi_3 = \{\{\}\}$, thus $\mathcal{C}_3 = \emptyset$, and $\psi_3 = \bar{x}_1 \wedge \bar{x}_3 \wedge \bar{x}_2$.

337 $\text{Scan}(\varphi_3)$: $\bar{x}_2 \in \psi_3$ for $2 \in \mathcal{L}^\phi$ over ϕ_3 . Then, $\text{Remove}(x_2, \phi_3)$ executes due to Scan L:2 .

338 $\text{Remove}(x_2, \phi_3)$: $\tilde{\psi}_3(\bar{x}_2) = \emptyset$ & $\tilde{\phi}_3(\neg x_2) = \{\{\}\}$ due to $\text{OvrLeft}(\bar{x}_2, \phi_3)$, because $\mathcal{C}_3^{\bar{x}_2} = \emptyset$
 339 and $\mathcal{C}_3^{x_2} = \emptyset$, since $\mathcal{C}_3 = \emptyset$. Hence, $\mathcal{L}^\phi \leftarrow \{2\} - \{2\}$ and $\phi_4 \leftarrow \phi_3$. Then, $\text{Scan}(\varphi_4)$ executes.

340 $\text{Scan}(\varphi_4)$ *terminates*: $\hat{\varphi} = \hat{\psi} = \bar{x}_1 \wedge \bar{x}_3 \wedge \bar{x}_2$ (L:9), and φ collapses to a unique assignment.

342 Let $\text{Scope}(x_3, \phi)$ execute *before* $\text{Scope}(x_1, \phi)$ due to **Scan** L:6 (see Theorem 36).
 343 $\text{Scope}(x_3, \phi)$: $\psi(x_3) \leftarrow \{x_3\}$ and $\phi_* \leftarrow \phi$ (L:1). Then, $\mathfrak{C}_*^{x_3} = \{2\}$ due to **Ovr1Eft** (x_3, ϕ_*)
 344 L:1, hence $\phi_*^{x_3} = (x_1 \odot \bar{x}_2 \odot x_3)$. As a result, $c_2 \leftarrow \{\bar{x}_1, x_2\}$ and $\tilde{\psi}_*(x_3) \leftarrow \tilde{\psi}_*(x_3) \cup c_2$ (L:3,5).
 345 Moreover, $\mathfrak{C}_*^{\bar{x}_3} = \{1, 3\}$ (L:7), hence $\phi_*^{\bar{x}_3} = (x_1 \odot \bar{x}_3) \wedge (x_2 \odot \bar{x}_3)$. Then, $C_1 \leftarrow \{x_1, \bar{x}_3\} - \{\bar{x}_3\}$,
 346 $\tilde{\psi}_*(x_3) \leftarrow \tilde{\psi}_*(x_3) \cup C_1$, and $C_1 \leftarrow \emptyset$. Likewise, $C_3 \leftarrow \{x_2, \bar{x}_3\} - \{\bar{x}_3\}$, $\tilde{\psi}_*(x_3) \leftarrow \tilde{\psi}_*(x_3) \cup C_3$,
 347 and $C_3 \leftarrow \emptyset$ (**Ovr1Eft** L:8-9). Consequently, $\tilde{\psi}_*(x_3) \leftarrow \{\bar{x}_1, x_2, x_1\}$ & $\tilde{\phi}_*(\bar{x}_3) \leftarrow \phi_*^{\bar{x}_3}$ (L:11).
 348 Note that $\phi_*^{\bar{x}_3} = \{\{\}, \{\}\}$, since $C_1 = C_3 = \emptyset$. Then, $\psi(x_3) \leftarrow \psi(x_3) \cup \{x_3\} \cup \tilde{\psi}_*(x_3)$ due to
 349 **Scope** L:4, hence $\psi(x_3) = \{x_3, \bar{x}_1, x_2, x_1\}$. Since $\psi(x_3) \supseteq \{\bar{x}_1, x_1\}$ (L:5), x_3 is incompatible
 350 *nontrivially*, i.e., $x_3 \Rightarrow \bar{x}_1 \wedge x_1$ and $\neg x_3 \Rightarrow \bar{x}_3$. Then, **Remove** (x_3, ϕ) executes due to **Scan** L:6.
 351 **Remove** (x_3, ϕ) : $\phi_*^{\bar{x}_3} = (x_1 \odot \bar{x}_3) \wedge (x_2 \odot \bar{x}_3)$ due to $\mathfrak{C}_*^{\bar{x}_3} = \{1, 3\}$, and $\phi^{x_3} = (x_1 \odot \bar{x}_2 \odot x_3)$
 352 due to $\mathfrak{C}^{x_3} = \{2\}$. Then, **Ovr1Eft** (\bar{x}_3, ϕ) returns $\tilde{\psi}(\bar{x}_3) = \{\bar{x}_1, \bar{x}_2\}$ & $\tilde{\phi}(\neg x_3) = \{\{x_1, \bar{x}_2\}\}$
 353 (**Remove** L:1), $\psi_2 \leftarrow \psi \cup \{\bar{x}_3\} \cup \tilde{\psi}(\bar{x}_3)$ (L:2), and $\mathfrak{L}^\phi \leftarrow \mathfrak{L}^\phi - \{3\}$ and $\mathfrak{L}^\psi \leftarrow \mathfrak{L}^\psi \cup \{3\}$ (L:4). As
 354 a result, $\psi_2 = \bar{x}_3 \wedge \bar{x}_1 \wedge \bar{x}_2$. Moreover, $\phi_2 \leftarrow \tilde{\phi}(\neg x_3) \wedge \phi'$ (L:5), in which $\tilde{\phi}(\neg x_3) = (x_1 \odot \bar{x}_2)$
 355 and ϕ' is empty. Therefore, $\varphi_2 = \psi_2 \wedge \phi_2$. Note that $C_1 = \{x_1, \bar{x}_2\}$, hence $\mathfrak{C}_2 = \{1\}$. Recall
 356 that $\mathfrak{L}^\phi = \{1, 2\}$, and that $\mathfrak{L}^\psi = \{3\}$. Then, **Scan** (φ_2) executes due to **Remove** (x_3, ϕ) L:6.
 357 **Scan** (φ_2) : $\mathfrak{L}^\phi = \{1, 2\}$ such that $\bar{x}_2 \in \psi_2$ and $\bar{x}_1 \in \psi_2$. Thus, \bar{x}_2 and \bar{x}_1 are *necessary*,
 358 hence x_2 and x_1 are incompatible *trivially*. Then, **Remove** (x_1, ϕ_2) and **Remove** (x_2, ϕ_2) execute.
 359 The fact that the order of incompatibility check is arbitrary (Theorem 36) is illustrated as
 360 follows. **Scope** (x_3, ϕ) returns x_3 is incompatible *nontrivially*, since $x_3 \Rightarrow \bar{x}_1 \wedge x_1$. Therefore,
 361 $\neg \bar{x}_1 \vee \neg x_1 \Rightarrow \neg x_3$, hence $x_1 \vee \bar{x}_1 \Rightarrow \bar{x}_3$. Then, $\bar{x}_3 \Rightarrow \bar{x}_1$ due to $C_1 = (x_1 \odot \bar{x}_3)$, and $\bar{x}_1 \Rightarrow \neg x_1$.
 362 Thus, x_1 is *still* incompatible, but trivially (cf. **Scope** (x_1, ϕ)), even if $\neg x_3$ holds. That is, x_1
 363 the *nontrivial* incompatible in ϕ due to $x_1 \Rightarrow \bar{x}_3 \wedge x_3$, i.e., $\neg \bar{x}_3 \vee \neg x_3 \Rightarrow \neg x_1$, is incompatible
 364 *trivially* in ψ_2 due to $\bar{x}_1 \Rightarrow \neg x_1$. See **Scan** (φ_2) above. Also, since $x_3 \notin C_k$ and $\bar{x}_3 \notin C_k$ in ϕ_s
 365 for any $s \geq 2$, $\not\models \varphi_s(x_3)$ for all $s \geq 2$, even if any r_i is removed from some C_k in ϕ_s , $s \geq 2$.

366 4 Conclusion

367 X3SAT has proved to be effective to show $\mathbf{P} = \mathbf{NP}$. A polynomial time algorithm checks
 368 unsatisfiability of a truth assignment $\phi(r_i)$ such that $\not\models \phi(r_i)$ iff $\psi_s(r_i)$ involves $x_j \wedge \bar{x}_j$ for
 369 some s . Thus, $\phi(r_i)$ reduces to $\psi(r_i)$. $\psi(r_i)$ denotes a conjunction of literals that are *true*,
 370 since each r_j such that $\not\models \psi_s(r_j)$ is removed from ϕ . Therefore, ϕ is satisfiable iff any truth
 371 assignment $\psi(r_i)$ holds, thus it is *easy* to verify satisfiability of ϕ through the *truth* of $\psi(r_i)$.

372 ——— References ———

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379 A Proof of Theorem 34/35

380 This section gives a rigorous proof of Theorem 34/35. Recall that the φ_s scan is *interrupted*
 381 iff ψ_s involves $x_i \wedge \bar{x}_i$ for some i and s , that is, φ is unsatisfiable, which is trivial to verify.
 382 Recall also that the φ_s scan *terminates* iff $\psi_s(r_i) = \mathbf{T}$ for any $i \in \mathfrak{L}^\phi$, $r_i \in \{x_i, \bar{x}_i\}$. Moreover,
 383 $\hat{\varphi} = \hat{\psi} \wedge \hat{\phi}$ such that $\hat{\psi} = \mathbf{T}$ (see **Scan** L:9 and Note 22). Therefore, when the scan terminates,
 384 satisfiability of $\hat{\phi}$ is to be proved, which is addressed in this section. Let $\phi := \hat{\phi}$, i.e., $\mathfrak{L} := \mathfrak{L}^\phi$.

23:12 On the Tractability of Un/Satisfiability

385 ► **Theorem 43** (cf. 34-35/Claim 1). *These statements are equivalent: a) $\not\models \phi(r_j)$ iff $\not\models \psi_s(r_j)$*
 386 *for some s . b) $\psi(r_i) = \mathbf{T}$ for any $i \in \mathcal{L}$. c) $\models_\alpha \phi$ by $\alpha = \{\psi(r_{i_0}), \psi(r_{i_1}|r_{i_0}), \dots, \psi(r_{i_n}|r_{i_m})\}$.*

387 **Proof.** We will show $a \Rightarrow b$, $b \Rightarrow c$, and $c \Rightarrow a$ (see Kenneth H. Rosen, Discrete Mathematics
 388 and its Applications, 7E, pg. 88). Firstly, $a \Rightarrow b$ holds, because a holds by assumption (see
 389 Note 19 and Scope L:5), and b holds by definition (Scan L:9). Also, $\psi(r_i|r_j)$ is true due to
 390 $\psi(r_i) \models \psi(r_i|r_j)$ (see Lemmas 32-33), where $\psi(\cdot) = \bigwedge r_i$ by Lemma 20. Next, we will show
 391 $b \Rightarrow c$. We do this by showing that satisfiability of ϕ is *preserved* throughout the assignment
 392 $\alpha = \{\psi(r_{i_0}), \psi(r_{i_1}|r_{i_0}), \dots, \psi(r_{i_n}|r_{i_m})\}$ construction, because any *partial* truth assignment
 393 $\psi(r_i|r_j)$ is constructed *arbitrarily* through consecutive steps having the Markov property.
 394 Thus, construction of $\psi(r_i|r_j)$ in the next step is independent from the preceding steps, and
 395 depends only upon $\psi(r_j|r_k)$ in the present step. The construction process is specified below.

396 **Step 0:** Pick any r_{i_0} in ϕ . The reductions due to r_{i_0} partition \mathcal{L} into $\mathcal{L}(r_{i_0})$ and $\mathcal{L}'(r_{i_0})$.
 397 Note that $i_0 \in \mathcal{L}$, and that $i_0 \in \mathcal{L}(r_{i_0})$. Hence, $i_0 \notin \mathcal{L}'(r_{i_0})$ by Lemma 27. Thus, $r_{i_0} \Rightarrow \psi(r_{i_0})$
 398 such that $\phi(r_{i_0}) = \psi(r_{i_0}) \wedge \phi'(r_{i_0})$ in Step 0. Then, pick an *arbitrary* r_{i_1} in $\phi'(r_{i_0})$ for Step 1.

399 **Step 1:** $\mathcal{L}(r_{i_0}) \cap \mathcal{L}'(r_{i_0}) = \emptyset$ in Step 0, and the reductions due to r_{i_1} over $\phi'(r_{i_0})$ partition
 400 $\mathcal{L}'(r_{i_0})$ into $\mathcal{L}(r_{i_1}|r_{i_0})$ and $\mathcal{L}'(r_{i_1}|r_{i_0})$, thus $r_{i_1} \Rightarrow \psi(r_{i_1}|r_{i_0})$. See also Lemma 28. Therefore,
 401 $\mathcal{L}(r_{i_0}) \cap \mathcal{L}(r_{i_1}|r_{i_0}) = \emptyset$, because $\mathcal{L}'(r_{i_0}) \supseteq \mathcal{L}(r_{i_1}|r_{i_0})$. As a result, \mathcal{L} is partitioned into $\mathcal{L}(r_{i_0})$,
 402 $\mathcal{L}(r_{i_1}|r_{i_0})$, and $\mathcal{L}'(r_{i_1}|r_{i_0})$ due to r_{i_0} and r_{i_1} . Thus, $\psi(r_{i_0})$ and $\psi(r_{i_1}|r_{i_0})$ are *disjoint*, as well
 403 as *true*. Hence, $\psi(r_{i_0}) \wedge \psi(r_{i_1}|r_{i_0}) = \mathbf{T}$, and $\phi(r_{i_0}, r_{i_1}) = \psi(r_{i_0}) \wedge \psi(r_{i_1}|r_{i_0}) \wedge \phi'(r_{i_1}|r_{i_0})$.

404 **Step 2:** The preceding steps have partitioned \mathcal{L} into $\mathcal{L}(r_{i_0}) \cup \mathcal{L}(r_{i_1}|r_{i_0})$ and $\mathcal{L}'(r_{i_1}|r_{i_0})$, and
 405 r_{i_2} in $\phi'(r_{i_1}|r_{i_0})$ partitions $\mathcal{L}'(r_{i_1}|r_{i_0})$ into $\mathcal{L}(r_{i_2}|r_{i_1})$ and $\mathcal{L}'(r_{i_2}|r_{i_1})$, i.e., $\mathcal{L}'(r_{i_1}|r_{i_0}) \supseteq \mathcal{L}(r_{i_2}|r_{i_1})$.
 406 Hence, $(\mathcal{L}(r_{i_0}) \cup \mathcal{L}(r_{i_1}|r_{i_0})) \cap \mathcal{L}(r_{i_2}|r_{i_1}) = \emptyset$. Therefore, $\psi(r_{i_0}) \wedge \psi(r_{i_1}|r_{i_0})$ and $\psi(r_{i_2}|r_{i_1})$ are
 407 *disjoint*, as well as *true*. As a result, $\psi(r_{i_0}) \wedge \psi(r_{i_1}|r_{i_0}) \wedge \psi(r_{i_2}|r_{i_1}) = \mathbf{T}$, and $\phi(r_{i_0}, r_{i_1}, r_{i_2}) =$
 408 $\psi(r_{i_0}) \wedge \psi(r_{i_1}|r_{i_0}) \wedge \psi(r_{i_2}|r_{i_1}) \wedge \phi'(r_{i_2}|r_{i_1})$. Note that $\alpha \supseteq \{\psi(r_{i_0}), \psi(r_{i_1}|r_{i_0}), \psi(r_{i_2}|r_{i_1})\}$, and
 409 that \mathcal{L} is partitioned into $\mathcal{L}(r_{i_0})$, $\mathcal{L}(r_{i_1}|r_{i_0})$, $\mathcal{L}(r_{i_2}|r_{i_1})$, and $\mathcal{L}'(r_{i_2}|r_{i_1})$ such that $\mathcal{L}'(r_{i_2}|r_{i_1}) \neq \emptyset$.

410 **Step n :** r_{i_n} partitions $\mathcal{L}'(r_{i_m}|r_{i_l})$ into $\mathcal{L}(r_{i_n}|r_{i_m})$ and $\mathcal{L}'(r_{i_n}|r_{i_m})$ such that $\mathcal{L}'(r_{i_n}|r_{i_m}) = \emptyset$.
 411 Then, $\mathcal{L}(r_{i_0}) \cup \mathcal{L}(r_{i_1}|r_{i_0}) \cup \dots \cup \mathcal{L}(r_{i_m}|r_{i_l})$ and $\mathcal{L}'(r_{i_m}|r_{i_l})$, hence $\mathcal{L}(r_{i_n}|r_{i_m})$, form a partition
 412 of \mathcal{L} . Therefore, $\psi(r_{i_0}) \wedge \psi(r_{i_1}|r_{i_0}) \wedge \dots \wedge \psi(r_{i_m}|r_{i_l})$ and $\psi(r_{i_n}|r_{i_m})$ are both *disjoint* and
 413 *true*, thus $\alpha = \phi(r_{i_0}, r_{i_1}, \dots, r_{i_m}, r_{i_n}) = \psi(r_{i_0}) \wedge \psi(r_{i_1}|r_{i_0}) \wedge \dots \wedge \psi(r_{i_m}|r_{i_l}) \wedge \psi(r_{i_n}|r_{i_m}) = \mathbf{T}$.

414 Consequently, ϕ is composed of $\psi(\cdot)$ *disjoint* and *satisfied*, thus $\models_\alpha \phi$, hence $b \Rightarrow c$ holds.
 415 Finally, we show $c \Rightarrow a$. **Scope** (r_i, ϕ) transforms $r_i \wedge \phi$ into $\psi(r_i) \wedge \phi'(r_i)$, thus $(r_i \wedge \phi) \equiv$
 416 $(\psi(r_i) \wedge \phi'(r_i))$. Since ϕ and $\psi(r_i)$ are satisfied, $\phi'(r_i)$ is satisfied. Therefore, unsatisfiability
 417 of $\psi_s(r_i)$ for some s is necessary and sufficient for the unsatisfiability of $\phi_s(r_i)$ for any s . ◀

418 ► **Note.** $\psi(r_i) \equiv \phi(r_i)$ for all $i \in \mathcal{L}$. Also, $\bigwedge C_k$ such that $C_k = (r_i \odot r_j \odot r_v)$ transforms into
 419 $\bigwedge \mathcal{C}_i$ such that $\mathcal{C}_i = (\psi(x_i) \oplus \psi(\bar{x}_i))$, thus $\bigwedge C_k \equiv \bigwedge \mathcal{C}_i$. Recall that $\phi = \bigwedge C_k$, where $\phi := \hat{\phi}$.

420 ► **Note.** The assignment α construction is driven by partitioning the set $\mathcal{L}'(\cdot)$ such that
 421 $\mathcal{L} \leftarrow \mathcal{L} - \mathcal{L}(r_{i_0})$ in Step 1, and $\mathcal{L} \leftarrow \mathcal{L} - \mathcal{L}(r_{i_{n-1}}|r_{i_{n-2}})$ for $i_n \in \mathcal{L}'(r_{i_{n-1}}|r_{i_{n-2}})$ in Step $n \geq 2$.

422 ► **Note (Construction of α).** In order to form a partition over the set ϕ , α is constructed such
 423 that $\psi(r_{i_1}|r_{i_0}) = \psi(r_{i_1}) - \psi(r_{i_0})$, and $\psi(r_{i_n}|r_{i_{n-1}}) = \psi(r_n) - (\psi(r_{i_0}) \cup \dots \cup \psi(r_{i_{n-1}}|r_{i_{n-2}}))$
 424 for $n \geq 2$. On the other hand, if the construction involves no set partition, then $\alpha = \bigcup \psi(r_i)$
 425 for $i = (i_0, i_1, \dots, i_n)$, where $i_0 \in \mathcal{L}$, $i_1 \in \mathcal{L}'(r_{i_0}), \dots, i_n \in \mathcal{L}'(r_{i_m}|r_{i_l})$, thus $r_{i_0} \prec r_{i_1} \prec \dots \prec r_{i_n}$.
 426 Note that there is no need to construct $\phi'(r_i)$ in Scan/Scope L:9 (cf. Algorithm 5).

427 For instance, if Example 40 involves no set partition, then $\alpha = \{\psi(\bar{x}_7), \psi(x_2), \psi(x_1)\}$, in
 428 which $\psi(\bar{x}_7) = \{\bar{x}_7, \bar{x}_6\}$, $\psi(x_2) = \{x_2\}$, and $\psi(x_1) = \{x_1, x_2, \bar{x}_7, \bar{x}_6\}$. Also, $\bar{x}_7 \prec x_2 \prec x_1$ due
 429 to $x_2 \in \phi'(\bar{x}_7)$ and $x_1 \in \phi'(x_2|\bar{x}_7)$. Moreover, $\psi(\bar{x}_7)$, $\psi(x_2|\bar{x}_7)$, and $\psi(x_1|x_2)$ form a partition
 430 over the set ϕ , where $\psi(x_2|\bar{x}_7) = \psi(x_2) - \psi(\bar{x}_7)$ and $\psi(x_1|x_2) = \psi(x_1) - (\psi(x_2|\bar{x}_7) \cup \psi(\bar{x}_7))$.
 431 As a result, $\alpha = \phi(\bar{x}_7, x_2, x_1) = \{\bar{x}_7, \bar{x}_6\} \cup \{x_2\} \cup \{x_1\}$ such that $\{\bar{x}_7, \bar{x}_6\} \cap \{x_2\} \cap \{x_1\} = \emptyset$.